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BTS Guidelines for Home Oxygen Use in Adults

British Thoracic Society
BTS Home Oxygen Guideline Group



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**British Thoracic Society
Home Oxygen Guideline Development Group
On behalf of the British Thoracic Society
Standards of Care Committee**

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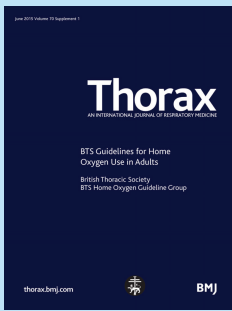
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British Thoracic Society guidelines for home oxygen use in adults

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ABSTRACT

The British Thoracic Society (BTS) Home Oxygen Guideline provides detailed evidence-based guidance for the use of home oxygen for patients out of hospital. Although the majority of evidence comes from the use of oxygen in patients with chronic obstructive pulmonary disease, the scope of the guidance includes patients with a variety of long-term respiratory illnesses and other groups in whom oxygen is currently ordered, such as those with cardiac failure, cancer and end-stage cardiorespiratory disease, terminal illness or cluster headache. It explores the evidence base for the use of different modalities of oxygen therapy and patient-related outcomes such as mortality, symptoms and quality of life. The guideline also makes recommendations for assessment and follow-up protocols, and risk assessments, particularly in the clinically challenging area of home oxygen users who smoke. The guideline development group is aware of the potential for confusion sometimes caused by the current nomenclature for different types of home oxygen, and rather than renaming them, has adopted the approach of clarifying those definitions, and in particular emphasising what is meant by long-term oxygen therapy and palliative oxygen therapy. The home oxygen guideline provides expert consensus opinion in areas where clinical evidence is lacking, and seeks to deliver improved prescribing practice, leading to improved compliance and improved patient outcomes, with consequent increased value to the health service.

SUMMARY OF RECOMMENDATIONS AND GOOD PRACTICE POINTS

Evidence for use of long-term oxygen therapy in patients with chronic obstructive pulmonary disease

- Patients with stable chronic obstructive pulmonary disease (COPD) and a resting PaO₂ ≤7.3 kPa should be assessed for long-term oxygen therapy (LTOT) which offers survival benefit and improves pulmonary haemodynamics. (Grade A)
- LTOT should be ordered for patients with stable COPD with a resting PaO₂ ≤8 kPa with evidence of peripheral oedema, polycythaemia (haematocrit ≥55%) or pulmonary hypertension. (Grade A)
- LTOT should be ordered for patients with resting hypercapnia if they fulfil all other criteria for LTOT. (Grade B)

Evidence for use of LTOT in other respiratory or cardiac disease

- LTOT should be ordered for patients with interstitial lung disease (ILD) with a resting PaO₂ ≤7.3 kPa. (Grade D)
- LTOT should be ordered for patients with ILD with a resting PaO₂ ≤8 kPa in the presence of peripheral oedema, polycythaemia (haematocrit ≥55%) or evidence of pulmonary hypertension. (Grade D)

Good practice point

- Patients with ILD who experience severe breathlessness could be considered for palliative oxygen therapy (POT). (✓)

LTOT in patients with cystic fibrosis

- LTOT should be ordered for patients with cystic fibrosis (CF) with a resting PaO₂ ≤7.3 kPa. (Grade D)
- LTOT should be ordered for patients with CF with a resting PaO₂ ≤8 kPa in the presence of peripheral oedema, polycythaemia (haematocrit ≥55%) or evidence of pulmonary hypertension. (Grade D)

LTOT in patients with pulmonary hypertension

- LTOT should be ordered for patients with pulmonary hypertension, including idiopathic pulmonary hypertension, when the PaO₂ is ≤8 kPa. (Grade D)

LTOT in patients with neuromuscular or chest wall disorders

- Non-invasive ventilation (NIV) should be the treatment of choice for patients with chest wall or neuromuscular disease causing type 2 respiratory failure. Additional LTOT may be required in case of hypoxaemia not corrected with NIV. (Grade D)

LTOT in patients with advanced cardiac failure

- LTOT should be ordered for patients with advanced cardiac failure with a resting PaO₂ ≤7.3 kPa. (Grade D)
- LTOT should be ordered for patients with advanced cardiac failure with a resting PaO₂ ≤8 kPa in the presence of peripheral oedema, polycythaemia (haematocrit ≥55%) or evidence of pulmonary hypertension on ECG or echocardiograph. (Grade D)



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Outcomes of LTOT in patients who continue to smoke

- ▶ If LTOT is ordered for patients who are continuing to smoke, the potential for more limited clinical benefit should be discussed with the patient. (Grade D)

Referral and assessment of patients for LTOT

- ▶ Written and verbal information should be given to patients referred to home oxygen assessment services at the time of referral. (Grade D)
- ▶ Patients with a resting stable oxygen saturation (SpO₂) of ≤92% should be referred for a blood gas assessment in order to assess eligibility for LTOT. (Grade C)

Good practice point

- ▶ In patients with clinical evidence of peripheral oedema, polycythaemia (haematocrit ≥55%) or pulmonary hypertension, referral for LTOT assessment may be considered at SpO₂ levels ≤94% to identify patients with a resting PaO₂ ≤8 kPa. (√)

Referral for home oxygen at hospital discharge

- ▶ Patients should undergo formal assessment for LTOT after a period of stability of at least 8 weeks from their last exacerbation. (Grade B)

Good practice points

- ▶ Patients who have borderline saturations (ie 93–94%) should have their oxygen saturations monitored at their annual review with their general practitioner (GP) or practice nurse, or sooner if they experience an exacerbation in the interim. (√)
- ▶ Patients who exacerbate frequently and are unable to achieve a period of stability lasting 8 weeks may need to be assessed at an earlier stage after exacerbation. If LTOT is ordered for such patients, they should be counselled that in the future LTOT may no longer be required once they achieve a more stable state. (√)
- ▶ Patients should not normally have LTOT ordered at the time of an acute exacerbation of their underlying condition. However, if home oxygen is ordered (eg, at hospital discharge), it should be limited to patients with an SpO₂ of ≤92%, who are breathless, and unable to manage off oxygen. These patients should undergo a blood gases assessment and be counselled that in the future LTOT may not be required after formal reassessment. (√)
- ▶ The date of the patient's last exacerbation should be included in the referral request to the home oxygen assessment service. (√)

Use of pulse oximetry, arterial and capillary blood gases in assessment for LTOT

- ▶ Patients potentially requiring LTOT should not be assessed using pulse oximetry alone. (Grade D)

Assessment using arterial blood gases and capillary blood gases

- ▶ Patients being assessed for LTOT should undergo initial assessment for suitability using arterial blood gases (ABG) sampling. (Grade A)
- ▶ Patients assessed for LTOT during a period of apparent clinical stability should undergo two ABG measurements at least 3 weeks apart, before the need for LTOT can be confirmed. (Grade B)
- ▶ Patients undergoing LTOT assessment should be reassessed with ABG after oxygen titration is complete to determine whether adequate oxygenation has been achieved without precipitating respiratory acidosis and/or worsening hypercapnia. (Grade D)

- ▶ For oxygen titration during LTOT assessment, capillary blood gases (CBG) sampling can be used in place of ABG sampling for re-measuring PaCO₂ and pH at different oxygen flow rates. (Grade A)
- ▶ For oxygen titration during LTOT assessment, cutaneous capnography can be used in place of ABG sampling for re-measuring PaCO₂ alone but not pH at different oxygen flow rates. (Grade A)

Good practice points

- ▶ Patients undergoing a radial ABG should be assessed with an Allen's test first, to ensure they have a dual blood supply to the hand from both radial and ulnar arteries. (√)
- ▶ Patients undergoing a radial ABG should be consented for the procedure with a discussion of possible risks. (√)
- ▶ In many community commissioned home oxygen service-assessment and review (HOS-AR) services it is not practical for patients to undergo ABG sampling during LTOT assessment. Under such circumstances, a combination of CBGs and oximetry (but not capnography) could be used as an alternative tool for initial assessment for LTOT, and after oxygen titration is complete. Some patients may receive LTOT unnecessarily using this approach, but it is unlikely that any patient would be inappropriately denied LTOT. (√)

Management of hypercapnia during LTOT assessment

- ▶ Patients with baseline hypercapnia should be monitored for the development of respiratory acidosis and worsening hypercapnia using ABGs after each titration of flow rate, as well as an ABG after oxygen titration is complete. (Grade D)

Good practice points

- ▶ Patients who develop a respiratory acidosis and/or a rise in PaCO₂ of >1 kPa (7.5 mm Hg) during an LTOT assessment may have clinically unstable disease. These patients should undergo further medical optimisation and be reassessed after 4 weeks. (√)
- ▶ Patients who develop a respiratory acidosis and/or a rise in PaCO₂ of >1 kPa (7.5 mm Hg) during an LTOT assessment on two repeated occasions, while apparently clinically stable, should only have domiciliary oxygen ordered in conjunction with nocturnal ventilatory support. (√)

LTOT hours of use

- ▶ LTOT should be ordered for a minimum of 15 h per day, and up to 24 h per day may be of additional benefit. (Grade C)

LTOT flow rates

- ▶ Patients eligible for LTOT should be initiated on a flow rate of 1 L/min and titrated up in 1 L/min increments until SpO₂ >90%. An ABG should then be performed to confirm that a target PaO₂ ≥8 kPa (60 mm Hg) at rest has been achieved. (Grade B)
- ▶ Non-hypercapnic patients initiated on LTOT should increase their flow rate by 1 L/min during sleep in the absence of any contraindications. (Grade B)
- ▶ Patients initiated on LTOT who are active outdoors should receive an ambulatory oxygen assessment to assess whether their flow rate needs increasing during exercise. (Grade B)

Good practice points

- ▶ Ambulatory and nocturnal oximetry may be performed to allow more accurate flow rates to be ordered for exercise and sleep, respectively. (√)

- ▶ Patients initiated on LTOT who have cognitive, visual or coordination impairments, may not be able to safely manipulate their own flow rates and should be maintained on a single flow rate. (✓)
- ▶ Flow rates may be increased at 20 min intervals during an oxygen titration until a target PaO₂ is achieved. (✓)

Patient education at time of assessment

- ▶ Patients initiated on LTOT should be provided with formal education by a specialist home oxygen assessment team to ensure compliance with therapy. (Grade D)
- ▶ Patients being commenced on home oxygen on discharge from hospital should be advised that home oxygen may be removed if reassessment shows clinical improvement. (Grade D)

Follow-up of LTOT patients

- ▶ LTOT patients should receive follow-up at 3 months after LTOT has been ordered, which should include assessment of blood gases and flow rate to ensure LTOT is still indicated and therapeutic. (Grade A)
- ▶ LTOT patients should receive follow-up visits at 6–12 months after their initial 3-month follow-up, which can be either home based or in combination with hospital visits. (Grade D)
- ▶ Follow-up visits should be conducted by a specialist home oxygen assessment team with the necessary skills to deliver patient education and manage withdrawal of home oxygen. (Grade D)

Good practice point

- ▶ All patients for whom LTOT has been ordered should be visited at home within 4 weeks by a specialist nurse or healthcare professional with experience of domiciliary oxygen therapy. The visit provides an opportunity to highlight potential risks and should be used to reinforce education and offer support to the patient and carer. Compliance may be checked, along with smoking status, symptoms of hypercapnia and oxygen saturations on oxygen to check that oxygen is therapeutic. (✓)

Nocturnal oxygen therapy

- ▶ Nocturnal oxygen therapy (NOT) is not recommended in patients with COPD who have nocturnal hypoxaemia but who fail to meet the criteria for LTOT. (Grade A)

Good practice point

- ▶ Other causes of nocturnal desaturation in COPD should be considered such as obesity hypoventilation, respiratory muscle weakness or obstructive sleep apnoea (OSA). (✓)

NOT in patients with cardiac disease and nocturnal desaturation

- ▶ NOT can be ordered for severe heart failure patients who do not fulfil indications for LTOT and have evidence of sleep disordered breathing (SDB) leading to daytime symptoms, after other causes of nocturnal desaturation have been excluded (eg, obesity hypoventilation or OSA) and heart failure treatment has been optimised. Treatment with modalities of ventilatory support should also be considered. (Grade B)

Good practice point

- ▶ If NOT is ordered for patients with severe heart failure, it should be ordered at a low flow rate of 1–2 L/min and response should be assessed by a reduction in symptoms of daytime

sleepiness, and SDB indices as measured by an overnight oximetry study. A blood gas assessment should be undertaken to exclude worsening hypercapnia and respiratory acidosis. Treatment with modalities of ventilatory support should be considered for patients who are hypercapnic. (✓)

NOT in patients with CF

- ▶ NOT should not be given to patients with CF with nocturnal hypoxaemia alone who do not fulfil LTOT criteria. It can be considered in patients with evidence of established ventilatory failure, where it should be given with NIV support. (Grade B)

NOT in patients with ILD

- ▶ NOT should not be given to patients with ILD with nocturnal hypoxaemia alone, who do not fulfil LTOT criteria. (Grade B)

NOT in patients with neuromuscular weakness

- ▶ Patients with neuromuscular weakness affecting respiratory muscles should not have NOT alone ordered. It can be considered in patients with evidence of established ventilatory failure, where it should be given with NIV support. (Grade B)

NOT in patients with OSA, obesity hypoventilation syndrome or overlap syndrome

- ▶ Patients with OSA, obesity hypoventilation syndrome (OHS) or overlap syndrome should not have NOT alone ordered. It can be considered in patients with evidence of established ventilatory failure, where it should be given with NIV support. (Grade D)

Ambulatory oxygen therapy

- ▶ AOT should not be routinely offered to patients who are not eligible for LTOT. (Grade B)
- ▶ AOT should not be routinely offered to patients already on LTOT. (Grade D)
- ▶ Ambulatory oxygen therapy (AOT) assessment should only be offered to patients already on LTOT if they are mobile outdoors. (Grade A)
- ▶ AOT should be offered to patients for use during exercise in a pulmonary rehabilitation programme or during an exercise programme following a formal assessment demonstrating improvement in exercise endurance. (Grade B)

Good practice points

- ▶ Patients started on AOT should be reviewed regularly. If AOT was started during an exacerbation or when unwell, an initial review at 4–6 weeks to check it is still indicated is essential. (✓)
- ▶ Home visits may be useful to identify problems with equipment or set-up. Further reviews should be carried out every 6 months when stable, or sooner if the patient's clinical status changes. (✓)
- ▶ AOT therapy may offer patients with active lifestyles or active treatment regimens (eg, CF) additional benefits. All patients should be assessed for AOT in the context of their daily activity and therapies. (✓)
- ▶ It is recognised that there may be some patients, for example with ILD and disabling breathlessness, who do not qualify for LTOT but who do desaturate on exercise who may benefit from AOT. Once all other medical interventions have been optimised, these patients could be considered for AOT following formal assessment and continued provision following demonstration of benefit and compliance. (✓)
- ▶ Patients with high respiratory rates (common in CF and ILD) should receive AOT at a selected flow rate via a Venturi

mask, which exceeds their peak tidal and exertional inspiratory flow, and be supplied with home oxygen equipment which is able to deliver the required high flow rates. (✓)

- ▶ AOT may be offered to LTOT patients who could otherwise not achieve 15 h per day oxygen usage, or who are severely hypoxaemic and are too symptomatic to leave their house without supplemental oxygen but may need to do so, for example to attend their GP or hospital appointments. Formal assessment is not required in these circumstances. (✓)

Palliative oxygen therapy

- ▶ Patients with cancer or end-stage cardiorespiratory disease who are experiencing intractable breathlessness should not receive treatment with POT if they are non-hypoxaemic or have mild levels of hypoxaemia above current LTOT thresholds ($SpO_2 \geq 92\%$). (Grade A)
- ▶ Patients with cancer or end-stage cardiorespiratory disease who are experiencing intractable breathlessness should receive assessment for a trial of treatment with opiates from an appropriately trained healthcare professional. (Grade A)
- ▶ Patients with cancer or end-stage cardiorespiratory disease who are experiencing intractable breathlessness should receive assessment for a trial of treatment with non-pharmacological treatments including fan therapy from an appropriately trained healthcare professional. (Grade D)

Good practice point

- ▶ POT may on occasion be considered by specialist teams for patients with intractable breathlessness unresponsive to all other modalities of treatment. In those instances, individual formal assessment of the effect of palliative oxygen on reducing breathlessness and improving quality of life should be made. (✓)

Short burst oxygen therapy

- ▶ Short burst oxygen therapy (SBOT) should not be ordered for use prior to or following exercise in hypoxaemic or normoxic patients with COPD. (Grade A)
- ▶ SBOT should not be ordered on discharge from hospital for non-hypoxaemic patients with severe COPD. (Grade A)

Use of SBOT in cluster headache

- ▶ SBOT delivering high flow oxygen therapy (12 L/min via a non-rebreather mask) should be offered to treat acute attacks of cluster headache (CH). (Grade A)

Good practice point

- ▶ Appropriate equipment will need to be provided in order to ensure delivery of high flow rate oxygen at 12 L/min for CH using a non-rebreather mask. Patients will usually have warning of a CH attack, and so provision should be made for urgent 4 h installation of home oxygen, if available, rather than a permanent home supply being provided. (✓)

Equipment for home oxygen therapy

- ▶ Oxygen concentrators should be used to deliver LTOT at flow rates of 4 L/min or less. (Grade B)
- ▶ Portable oxygen should be delivered by whatever mode is best suited to the individual needs of the patient to increase the daily amount of oxygen used and activity levels in mobile patients. (Grade C)

Good practice point

- ▶ The type of portable device selected should balance patient factors with cost effectiveness, resources and safety. (✓)

Oxygen delivery

- ▶ Nasal cannulae should be considered as the first choice of delivery device for patients requiring home oxygen therapy. As an alternative some patients may benefit from or prefer a Venturi mask system. (Grade D)
- ▶ Oxygen-conserving devices can be used in home oxygen patients requiring high flow rates to increase the time the cylinder will last. (Grade B)

Good practice points

- ▶ Venturi masks should be considered in patients in whom there are concerns about existing or developing hypercapnic respiratory failure, those with a high resting respiratory rate or those with cognitive problems. (✓)
- ▶ Oxygen-conserving devices should be considered in patients who are active outside the home, following an ambulatory oxygen assessment. (✓)

Humidification

- ▶ Humidification of home oxygen should not be ordered for non-tracheostomy patients. (Grade D)

Good practice point

- ▶ Patients receiving oxygen via a tracheostomy should receive humidified oxygen. (✓)

Carrying home oxygen

- ▶ Less able patients should be offered wheeled devices or backpacks if assessment shows they improve ambulation and quality of life. (Grade B)

Good practice point

- ▶ When being transported in cars, cylinders should be secured either with a seat belt, or in the foot-well or car boot, possibly using a cylinder box. Liquid oxygen should always be transported in an upright position. A warning triangle may be displayed and insurance companies should be informed. (✓)

Safety and home oxygen therapy

- ▶ Smoking cessation should be discussed and written education given to all patients prior to ordering home oxygen and at each subsequent review if the patient continues to smoke. (Grade C)
- ▶ Patients should be made aware in writing of the dangers of using home oxygen within the vicinity of any naked flame such as pilot lights, cookers, gas fires and candles. (Grade D)
- ▶ Patients and family members who continue to smoke in the presence of home oxygen should be warned of the associated dangers of smoking in the presence of oxygen. (Grade D)

Good practice points

- ▶ Safety should be a factor when making decisions regarding the ordering of oxygen. Education and written information should be provided to the patient and family or carers regarding the safe use of oxygen and its equipment. (✓)
- ▶ The risks of prescribing oxygen to active smokers should be considered on a case-by-case basis: this should include a home visit to assess the patient's home situation, attitude toward risks and smoking behaviour. Home oxygen assessment services may decide not to prescribe home oxygen to smokers if the risks are in their judgement too high. Particular consideration needs to be given to risks to children and risks to neighbours in multiple occupancy dwellings. A risk assessment tool should be used, and the health professional who is undertaking the risk assessment may need to visit the home in conjunction with the local

fire service and/or the oxygen contractor. Where there is reasonable doubt, the therapy should not be prescribed. (√)

- ▶ Patients who continue to smoke or live with other household smokers should be informed that the home oxygen order will be reviewed and evidence of increased risk may lead to withdrawal of home oxygen therapy. (√)
- ▶ Carbon monoxide monitoring and measuring urine cotinine may help identify those patients who continue to smoke. (√)
- ▶ Patients should be made aware that they should not use e-cigarettes and chargers within the vicinity of their home oxygen. (√)
- ▶ Oil-based emollients and petroleum jelly can support combustion in the presence of oxygen. Patients should be made aware that only water-based products should be used on the hands and face or inside the nose while using oxygen. (√)
- ▶ The oxygen supplier should be informed if the patient continues to smoke in order for the engineer to consider it in the home oxygen supplier risk assessment. (√)
- ▶ Patients and family or carers should be instructed not to remove the fire breaks or to change flow rate on their oxygen equipment. Only oxygen tubing and connections supplied by the oxygen company should be used. (√)
- ▶ The local fire service should be made aware of patients who are using oxygen at home and especially those who continue to smoke in order for a home safety assessment to be carried out. (√)
- ▶ Patients and carers should be aware that tubing should be checked on a regular basis and repositioned as necessary to ensure safety by preventing trips and falls. (√)

INTRODUCTION

The British Thoracic Society (BTS) Home Oxygen Guideline provides detailed evidence-based guidance for the use of home oxygen for patients out of hospital. Although the majority of evidence comes from the use of oxygen in patients with chronic obstructive pulmonary disease (COPD), the scope of the guidance includes patients with a variety of long-term respiratory illnesses and other groups in whom oxygen is currently ordered, such as those with cardiac failure, cancer and end-stage cardiorespiratory disease, terminal illness and cluster headache (CH). It explores the evidence base for the use of different modalities of oxygen therapy and patient-related outcomes such as mortality, symptoms and quality of life. The guideline also makes recommendations for assessment and follow-up protocols, and risk assessments, particularly in the clinically challenging area of home oxygen users who smoke. The guideline development group is aware of the potential for confusion sometimes caused by the current nomenclature for different types of home oxygen, and rather than renaming them has adopted the approach of clarifying those definitions, and in particular emphasising what is meant by long-term oxygen therapy (LTOT) and palliative oxygen therapy (POT). The home oxygen guideline provides expert consensus opinion in areas where clinical evidence is lacking, and seeks to deliver improved prescribing practice, leading to improved compliance and improved patient outcomes, with consequent increased value to the health service.

Target audience for the guideline

This guideline is aimed at all healthcare practitioners who are involved in the care of patients who use home oxygen therapy: this will include primary care clinicians (general practitioners (GPs), and practice and district nurses), those working in

community nursing or palliative care teams, integrated respiratory teams, home oxygen assessment services and hospital specialist teams in respiratory medicine, cardiology, neurology, oncology, geratology and palliative care.

Groups covered

The home oxygen guideline addresses the use of home oxygen in adults with

- ▶ chronic respiratory disease including COPD, pulmonary hypertension, pulmonary vascular disease, cystic fibrosis (CF), interstitial lung disease (ILD), chest wall disease, neuromuscular disease, and pulmonary malignancy
- ▶ cardiac disease including congestive cardiac failure and adult congenital heart disease
- ▶ CH.

It will also consider special situations including:

- ▶ palliative and end-of-life care
- ▶ patients discharged from hospital pending a formal assessment when stable
- ▶ smokers.

Scope of the guideline

The guideline considers the evidence base and makes recommendations for the use or restricted use of the following types of home oxygen therapy:

- ▶ long-term oxygen therapy (LTOT)
- ▶ nocturnal oxygen therapy (NOT)
- ▶ ambulatory oxygen therapy (AOT)
- ▶ palliative oxygen therapy (POT)
- ▶ short burst oxygen therapy (SBOT).

The guideline considers the evidence base and makes recommendations for referral, assessment (including the roles of oximetry, arterial blood gases (ABGs) and capillary blood gases (CBGs)), and follow-up of patients for home oxygen therapy. The guideline reviews the different equipment used to deliver home oxygen therapy.

Finally, the guideline reviews safety issues around home oxygen therapy, in particular risks of fire, burns and smoke inhalation from flammable sources such as smoking. It outlines the risk assessment processes which were put in place by the National Framework Agreement for home oxygen therapy (2010) which outlined responsibilities for home oxygen providers.

Areas not covered by the guideline

The guideline development group was aware of existing BTS guidelines in related areas and the following areas therefore fall outside the scope of this guideline:

- ▶ home oxygen in children (younger than 18)—home oxygen in children remains as a separate guideline.¹
- ▶ home oxygen use during acute exacerbations of respiratory disease—this is covered by the BTS Guideline for Emergency Oxygen Use in Adult Patients.²
- ▶ home oxygen use during air travel—see the 2011 BTS guideline on recommendations for managing passengers with stable respiratory disease planning air travel.³

The guideline development group were unable to cover all disease groups individually, for example bronchiectasis and asthma among others. In these areas no disease specific evidence for oxygen use was found.

Methodology

This guideline is based on the best available evidence. The methodology used to write the guideline adheres strictly to the

criteria as set by the AGREE collaboration, which is available online (<http://www.agreetrust.org/resource-centre/agree-ii/>). The BTS Standards of Care Committee (SOCC) guideline production manual is available at <http://www.brit-thoracic.org.uk/guidelines-and-quality-standards/>

Clinical questions and literature search

Clinical questions were structured in the PICO (Patient, Intervention, Control, Outcome) format (see online supplementary appendix 9) to define the scope of the guideline and inform the literature search.

Systematic electronic database searches were conducted in order to identify potentially relevant studies for inclusion in the guideline. For each topic area the following databases were searched: Ovid MEDLINE (including MEDLINE In-Process), Ovid EMBASE, and the Cochrane Library (including the Cochrane Database of Systematic Reviews and the Database of Abstracts of Reviews of Effects) from 1980.

The searches were first run in July 2012 and updated in January 2014 (see online supplementary appendix 10 for the search strategy). Searches included a combination of indexed terms and free text terms and were limited to English language publications only. The initial search identified 1392 potential abstracts and the second search 326 abstracts.

Appraisal of the literature

Appraisal was performed to be compliant with the AGREE collaboration. Four individuals (MH, SH, TW, JS) read the title and abstract of each article retrieved by the literature searches and decided whether the paper was definitely relevant, possibly relevant or not relevant to the project. Criteria formulated for categorising the abstracts into these three groups were:

- ▶ whether the study addressed the clinical question;
- ▶ whether the appropriate study type was used to produce the best evidence to answer the clinical question;
- ▶ review articles were excluded;
- ▶ the abstract was in English;
- ▶ abstracts were not rejected on the basis of the journal of publication, country in which the research was performed or published, or the date of publication.

The full paper was obtained for all relevant or possibly relevant abstracts and allocated to the relevant section(s) of the guideline.

The first screening process identified 511 of the initial 1392 reference abstracts to be definitely or possibly relevant to the guideline. Two guideline reviewers per section independently reviewed the abstracts to identify papers to be appraised for the guideline. The two reviewers for each section then independently appraised each paper assigned to them using the Scottish Intercollegiate Guidelines Network (SIGN) critical appraisal checklists. The reliability of the evidence in each individual study was graded using the SIGN critical appraisal check lists and is shown in the evidence tables (+++, + or -) (see online supplementary appendix 11). The body of evidence for each recommendation was summarised into evidence statements and graded using the SIGN grading system (see table 1).

Disagreements were resolved by discussion with the section partner. The second literature search in January 2014 yielded 326 abstracts. Of these, 56 were identified as definitely or possibly relevant to the guideline. However, all of the pertinent abstracts from this search had been identified by the guideline development group (GDG) in the meantime and already incorporated.

Table 1 Key to evidence statements

Grade	Evidence
1++	High quality meta-analyses, systematic reviews of RCTs, or RCTs with a very low risk of bias
1+	Well conducted meta-analyses, systematic reviews of RCTs, or RCTs with a low risk of bias
1-	Meta-analyses, systematic reviews of RCTs, or RCTs with a high risk of bias
2++	High quality systematic reviews of case-control or cohort studies or high quality case-control or cohort studies with a very low risk of confounding, bias or chance and a high probability that the relationship is causal
2+	Well conducted case-control or cohort studies with a low risk of confounding, bias or chance and a moderate probability that the relationship is causal
2-	Case-control or cohort studies with a high risk of confounding, bias or chance and a significant risk that the relationship is not causal
3	Non-analytic studies, for example case reports, case series
4	Expert opinion

RCT, randomised control trial.

Considered judgement and grading of evidence

The GDG used the evidence tables to judge the body of evidence and grade recommendations for this guideline. Evidence tables are available in the online supplementary appendix 11. Where evidence was lacking to answer the formulated clinical questions, expert opinions were obtained through consensus. The following were considered in grading of the recommendations:

- ▶ the available volume of the body of evidence;
- ▶ how applicable the obtained evidence was in making recommendations for the defined target audience of this guideline;
- ▶ whether the evidence was generalisable to the target population for the guideline;
- ▶ whether there was clear consistency in the evidence obtained to support recommendations;
- ▶ what the implications of recommendations would be on clinical practice in terms of resources and skilled expertise;
- ▶ cost-effectiveness was not reviewed in detail as in-depth economic analysis of recommendations falls beyond the scope of this guideline.

Recommendations were graded from A to D as indicated by the strength of the evidence as shown in table 2. In line with SIGN guidance, evidence rated 'minus' was considered by the GDG in context but in the absence of other supporting evidence with a "plus" rating, any recommendation made was Grade D. Important practical points lacking any research evidence and not likely to be research evidence in the future, were highlighted as 'good practice points'.

Drafting the guideline

The GDG corresponded regularly by email and meetings of the full group were held in November 2011, February and November 2012, and March, April and September 2013 in addition to a number of teleconferences. The BTS SOCC reviewed the draft guideline in March 2014. The draft guideline was made available online in July/August 2014 for public consultation and circulated to all the relevant stakeholders. The BTS SOCC re-reviewed the revised draft guideline in December 2014 and final SOCC approval was granted in January 2015.

This BTS guideline will be reviewed within the next 5 years.

Table 2 Grades of recommendations

Grade	Type of evidence
A	At least one meta-analysis, systematic review or RCT rated as 1++ and directly applicable to the target population or A systematic review of RCTs or a body of evidence consisting principally of studies rated as 1+ directly applicable to the target population and demonstrating overall consistency of results
B	A body of evidence including studies rated as 2++ directly applicable to the target population and demonstrating overall consistency of results or Extrapolated evidence from studies rated as 1++ or 1+
C	A body of evidence including studies rated as 2+ directly applicable to the target population and demonstrating overall consistency of results or Extrapolated evidence from studies rated as 2++
D	Evidence level 3 or 4 or Extrapolated evidence from studies rated as 2+
✓	Important practical points for which there is no research evidence, nor is there likely to be any research evidence. The guideline committee wishes to emphasise these as Good Practice Points.

RCT, randomised control trial.

Declarations of interest

All members of the GDG made declarations of interest in line with BTS policy and further details can be obtained on request from BTS. GDG members are listed in appendix 8.

Acknowledgements

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- ▶ Wirral NHS home oxygen assessment service for the example of a Home Oxygen Risk Assessment form (see appendix 4);
- ▶ Oxford Health Foundation Trust home oxygen assessment service for an example of an Ambulatory Oxygen Assessment on which appendix 1 is based;
- ▶ The British Lung Foundation for patient information leaflets referred to in appendix 6;
- ▶ Barema (the Association for Anaesthetic and Respiratory Device Suppliers) and BOC Healthcare for the photographs of home oxygen equipment used to illustrate online supplementary appendix 12.

Audit and research recommendations

1. Research to investigate which patients with particular disease phenotypes benefit from LTOT: for example smokers compared with ex-smokers, those with pulmonary hypertension, those with COPD-driven cachexia and frequent exacerbators.
2. Research to investigate long-term outcomes (survival) in diseases other than COPD such as CF, ILD and bronchiectasis.
3. Research to investigate delivery of oxygen during pulmonary rehabilitation and maintenance classes, assessing impact on outcomes such as exacerbations, exercise tolerance and quality of life.
4. Longitudinal studies to assess the impact of LTOT on pulmonary haemodynamics in COPD patients with pulmonary hypertension using both direct (eg, cardiac catheterisation) and indirect (eg, NT-proBNP, echocardiography) parameters, along with quality of life and exercise tolerance outcomes.
5. A robust assessment of risk assessment measures with the aim of developing an integrated pathway for home oxygen teams and oxygen provider services to manage patients who smoke.

6. Research to investigate the role of palliative oxygen in comparison with or used together with other measures such as opiates, fan therapy and cognitive behavioural therapy.
7. Research to investigate and compare the use of ABG and CBG in predicting need for LTOT and risk of hypercapnia.
8. Audit of assessment, ordering for and follow-up of home oxygen patients to improve and maintain standards of care from home oxygen assessment teams.

Glossary/Abbreviations and symbols

Abbreviations

ABG	Arterial blood gas
AHI	Apnoea hypopnoea index
AOT	Ambulatory oxygen therapy
ASV	Adaptive servo ventilation
BIPAP	Bi-level positive airway pressure
BTS	British Thoracic Society
CBG	Capillary blood gas
CCF	Congestive cardiac failure
CCH	Chronic cluster headache
CF	Cystic fibrosis
CH	Cluster headache
CO	Carbon monoxide
CO ₂	Carbon dioxide
COPD	Chronic obstructive pulmonary disease
CPAP	Continuous positive airway pressure
CRQ	Chronic respiratory disease questionnaire
CSA	Central sleep apnoea
CSB	Cheyne-stokes breathing
ECH	Episodic cluster headache
ECOG PS	Eastern Cooperative Oncology Group Performance Status
EEG	Electroencephalography
ELBG	Earlobe blood gas
ESS	Epworth sleepiness scale
FiO ₂	Fraction of inspired oxygen
GDG	Guideline development group
GP	General practitioner
H	Hypoxaemia
HAD	Hospital anxiety and depression scale
Hb	Haemoglobin
HO	Home oxygen
HOOF	Home oxygen order form
HOS-AR	Home oxygen service – assessment and review
IPAH	Idiopathic Pulmonary Arterial Hypertension
IOT	Intermittent oxygen therapy
ILD	Interstitial lung disease
kPa	kilo Pascal (unit of measurement of pressure) 1kPa= 7.5mmHg
L/min	Litres per minute (unit of measure of flow rate of oxygen)
LTOT	Long term oxygen therapy
LVEF	Left ventricular ejection fraction
m	Meter (unit of measurement of length)
mmHg	millimetres of mercury (unit of measurement of pressure)
MMSE	Mini mental state examination
MQoLQ	Migraine quality of life questionnaire
MRC	Medical Research Council
NH	Non hypoxaemic
NHYA	New York Heart Association
NIV	Non-invasive ventilation
NIPPV	Non-invasive positive pressure ventilation
NOT	Nocturnal oxygen therapy
NRS	Numeric rating scale

OHS	Obesity Hypoventilation Syndrome
OT	Oxygen therapy
OSA	Obstructive sleep apnoea
O ₂	Oxygen
PAP	Pulmonary artery pressure
PCO ₂	Carbon dioxide tension (partial pressure) in blood or alveolus
PaCO ₂	Arterial carbon dioxide tension (partial pressure)
PaO ₂	Arterial oxygen tension (partial pressure)
PO ₂	Oxygen tension (partial pressure) in blood or alveolus
PCU	Palliative care unit
PICO	Patient Intervention Control Outcome
POT	Palliative oxygen therapy
PPH	Primary pulmonary hypertension
pH	Unit of measurement of acidity of blood
QoL	Quality of life
REM	Rapid eye movement stage of sleep
SBOT	Short burst oxygen therapy
SD	Standard deviation
SDB	Sleep disorder breathing
SF-A	Validated sleep quality questionnaire
SF 36	Short form (36) health questionnaire
SIGN	Scottish Intercollegiate Guideline Network
SaO ₂	Arterial oxygen saturation measured by arterial blood gas co-oximetry
SpO ₂	Arterial oxygen saturation measured by pulse oximetry
SOCC	British Thoracic Society Standards of Care Committee
VAS	Visual analogue scale
VE	Minute ventilation
6MWT	6 minute walk test
Symbols	
>	Greater than or above
≤	Less than or below
≥	Greater than or equal to
≤	Less than or equal to
%	Percent

Partial pressure units of measurement and conversion between them

- ▶ Partial pressures of oxygen and carbon dioxide are measured using kilopascals (kPa) and millimetres of mercury (mm Hg) where:
- ▶ 1 kPa=7.5 mm Hg, and 1 mm Hg=0.133 kPa.

LONG-TERM OXYGEN THERAPY

LTOT can be defined as oxygen used for at least 15 h per day in chronically hypoxaemic patients. Chronic hypoxaemia is defined as a PaO₂ ≤7.3 kPa or, in certain clinical situations, PaO₂ ≤8.0 kPa. LTOT is delivered via an oxygen concentrator and should be differentiated from the use of oxygen as a palliative measure for symptomatic relief in breathless patients, which will be discussed in the palliative oxygen therapy section. LTOT addresses specific physiological inclusion criteria as outlined below.

Evidence for use of LTOT in patients with COPD

Survival benefit in COPD patients with LTOT

Two landmark randomised controlled trials (RCTs) showed survival benefit of LTOT in patients with COPD and severe chronic hypoxaemia when used for at least 15 h daily.

The Nocturnal Oxygen Therapy Trial (NOTT) was the first RCT of LTOT in patients with COPD.⁴ It included 203 patients

with COPD in six US centres with PaO₂ ≤7.33 kPa (55 mm Hg), or PaO₂ <7.87 kPa (59 mm Hg) with a raised haematocrit, signs of right heart failure or P pulmonale. It compared the effects of 12 h nocturnal oxygen (n=102) therapy with continuous oxygen (24 h; n=101) therapy on mortality, pulmonary haemodynamics and exercise capacity at 12 months. The treatment groups were well matched. There was 1.94 times the mortality in the NOT group compared to the continuous oxygen therapy group. This survival benefit was present in relatively normocapnic patients, and in those without a raised pulmonary arterial pressure (PAP) but was more pronounced in patients with hypercapnia, severe airflow limitation, lower oxygen saturations and in those with neuropsychological impairment. There was also a correlation between the mean reduction in PAP in the first 6 months of LTOT and survival at 8-year follow-up.

The UK MRC (Medical Research Council) domiciliary oxygen trial studied 87 patients with chronic bronchitis and emphysema who were hypoxaemic (PaO₂ 5.3–8.0 kPa), who were mostly hypercapnic and who had a previous documented episode of oedema indicating cor pulmonale. Patients were randomised to no oxygen therapy or 15 h/day at an inspired oxygen concentration to achieve a PaO₂ >8 kPa.⁵ Over a 5-year follow-up period in the MRC trial, 19/42 died in the LTOT (treatment) group compared with 30/45 in the control (no oxygen) group.

Subsequent studies have confirmed a survival benefit of LTOT when given for at least 15 h/day in the presence of chronic hypoxaemia, irrespective of chronic hypercapnia or previous episodes of oedema or pulmonary hypertension.^{6–8} This survival benefit was not seen in patients with moderate hypoxaemia. No significant differences were found in survival rates between patients treated with LTOT and controls in a population of 135 patients with advanced airflow limitation (mean (SD) FEV₁ 0.83 (0.28) L) and moderate hypoxaemia (PaO₂ 7.4–8.7 kPa, 56–65 mm Hg) followed up for at least 3 years or until death.⁹ Women have a worse prognosis on LTOT than men.¹⁰ Most patients treated with LTOT die as a result of respiratory failure.¹¹ Nutritional depletion is an independent risk factor for mortality and hospitalisation in patients with COPD receiving LTOT.¹²

Evidence for blood gas criteria for selection of COPD patients for LTOT

Criteria for ordering LTOT and ABG parameters derive from the two previously described landmark RCTs.^{4 5} The NOTT trial included COPD patients with PaO₂ ≤7.33 kPa (≤55 mm Hg) or PaO₂ ≤8 kPa (60 mm Hg) with a raised haematocrit, signs of right heart failure or P pulmonale on electrocardiogram.⁴ The UK MRC domiciliary oxygen trial studied outcomes in patients with chronic bronchitis and emphysema who were hypoxaemic (PaO₂ 5.3–8 kPa), mostly hypercapnic and who had a previous documented episode of oedema indicating cor pulmonale.⁵

LTOT in hypercapnic COPD patients

Few RCTs have directly studied the impact of providing oxygen by comparing a priori hypercapnic and normocapnic patients with COPD. In the MRC trial, an analysis of predictors of mortality demonstrated that raised red cell mass and baseline PaCO₂ were predictors of mortality in both the treatment and placebo arms.⁵ Longitudinal analysis demonstrated that a rising PaCO₂ and falling PaO₂ were associated with poor outcomes in both arms. The authors concluded that there was no evidence of oxygen toxicity with this treatment regimen. In contrast, a study of 228 patients given an oxygen concentrator who were followed up for a maximum of 5 years, and analysed in three

groups (n=55, no use of oxygen; n=112, use for <15 h per day; and n=61, use for >15 h per day) showed that median survival at 2 years was better in the groups receiving oxygen compared to the no use group.⁸ Baseline PaCO₂ was not shown to be a predictor of mortality and did not predict differences in mortality between the groups. However, the NOTT trial showed the survival benefit in the treatment groups was more apparent in patients with hypercapnia.⁴

The effect of supplementary oxygen on the chemical control of ventilation has the potential to increase CO₂ levels in patients receiving 24 h/day oxygen. Fleetham *et al*¹³ studied 30 hypoxaemic COPD patients (mean PaO₂ 6.9 kPa) who were randomised to 12 or 24 h oxygen therapy for 12 months. Patients given 24 h oxygen had a blunted CO₂ response. There was no change in the hypoxaemic response in either group.

Effects of LTOT on pulmonary haemodynamics in COPD patients

The effect of LTOT on PAP are small. In the NOTT trial, survival after 8 years was related to the decrease in mean PAP during the first 6 months of treatment.¹⁴ This subgroup analysis also showed improvement in PAP and stroke volume in patients with 24 h of oxygen therapy per day compared to those given only 12 h of oxygen per day. In the MRC trial, LTOT prevented a rise in PAP of 0.4 kPa (3 mm Hg), seen in the control group, although a fall in PAP was not found.⁵ A small intervention study measured PAP and left ventricular ejection fraction (LVEF) before and after 6 months of LTOT and showed a significant fall in mean PAP¹⁵

Effects of LTOT on sleep in COPD patients

Patients with COPD can develop nocturnal hypoxaemia due to ventilation-perfusion mismatch, decreased functional capacity and nocturnal hypoventilation particularly pronounced during REM sleep. This in turn can lead to poor sleep quality with sleep fragmentation. Use of LTOT has been demonstrated to correct nocturnal SaO₂, decrease sleep latency and improve sleep quality evaluated by EEG.¹⁶

Effects of LTOT on quality of life and neuropsychological function in COPD patients

Health-related quality of life is impaired in patients with COPD. In one study, the administration of LTOT showed no beneficial effects on quality of life compared to patients not fulfilling criteria for LTOT.¹⁷ In the NOTT study, minor improvements in neuropsychological function were achieved after 12 months of LTOT compared to NOT.¹⁸ There was only modest improvement in neuropsychological scores after 6 months of treatment. An observational study has shown improvement in mood after 1 year of treatment with LTOT.¹⁹ However, psychological changes due to LTOT are difficult to separate from the effects of other therapies.

LTOT in COPD patients and impact upon hospital admissions

Lack of provision of LTOT to hypoxaemic COPD patients with PaO₂ <7.3 kPa is an independent risk factor for hospital admission with a COPD exacerbation.²⁰ Conversely, use of LTOT in moderately hypoxaemic patients (PaO₂ 7.3–9.5 kPa) does not significantly reduce hospital admission rates or bed days when comparing a 10-month period before and after LTOT treatment.²¹ The MRC trial did not find any impact on hospitalisation from treatment with LTOT.⁵

Other effects of LTOT in COPD patients

A further benefit of LTOT may be in the improvement of renal blood flow, reducing activation of the renin angiotensin system and thus salt and water retention. However, one study of LTOT showed no overall benefit on renal function after 6 months of treatment.²²

Supplemental oxygen therapy reduces secondary polycythaemia, as seen by a fall in haematocrit and red cell mass.⁵ A study in COPD patients showed that LTOT patients with a low haematocrit have worse survival than patients with high haematocrits (>0.55).²³

Evidence statements

- ▶ Patients whose clinical condition is stable with a resting PaO₂ ≤7.3 kPa have improved life expectancy when treated with LTOT for at least 15 h/day. **Evidence level 1+**
- ▶ Patients with stable COPD and a resting PaO₂ ≤8.0 kPa with evidence of cor pulmonale, polycythaemia and/or pulmonary hypertension have improved outcomes with LTOT. **Evidence level 1+**
- ▶ Use of continuous oxygen therapy (24 h) offers additional survival benefit compared to shorter durations (12–15 h) but can contribute to higher PaCO₂ levels. **Evidence level 1–**
- ▶ Use of LTOT in hypercapnic respiratory patients with COPD does not lead to increased morbidity, mortality or healthcare utilisation. **Evidence level 1+**

Recommendations

- ▶ Patients with stable COPD and a resting PaO₂ ≤7.3 kPa should be assessed for LTOT, which offers survival benefit and improves pulmonary haemodynamics. (Grade A)
- ▶ LTOT should be ordered for patients with stable COPD with a resting PaO₂ ≤8 kPa with evidence of peripheral oedema, polycythaemia (haematocrit ≥55%) or pulmonary hypertension. (Grade A)
- ▶ LTOT should be ordered for patients with resting hypercapnia if they fulfil all other criteria for LTOT. (Grade B)

Evidence for use of LTOT in patients with other respiratory or cardiac disease

LTOT in patient with ILD

Chronic hypoxaemia can occur in patients with severe ILD. As with other progressive respiratory conditions, the development of progressive hypoxaemia may lead to poor tissue oxygenation and the development of complications such as pulmonary hypertension. This in turn can worsen prognosis. However, there are no RCTs reporting the effects of use of LTOT in these disorders. Therefore, recommendations for use are extrapolated from evidence in COPD patients. In clinical practice, patients with severe breathlessness due to ILD may hyperventilate to maintain oxygen saturations, and often desaturate abruptly on minimal exertion. Clinical management varies, with some centres measuring oxygen saturation over a 24 h period to assess 'hypoxaemic burden' and prescribing home oxygen accordingly. There is at present no evidence to support home oxygen provision on this basis.

Evidence statement

- ▶ The use of LTOT in patients with ILD may improve survival and tissue oxygenation, and prevent complications associated with hypoxaemia such as worsening pulmonary hypertension. **Evidence level 4**

Recommendations

- ▶ LTOT should be ordered for patients with ILD with a resting PaO₂ ≤7.3 kPa. (Grade D)
- ▶ LTOT should be ordered for patients with ILD with a resting PaO₂ ≤8 kPa in the presence of peripheral oedema,

polycythaemia (haematocrit $\geq 55\%$) or evidence of pulmonary hypertension. (Grade D)

Good practice point

- ▶ Patients with ILD who experience severe breathlessness could be considered for POT. (✓)

LTOT in patients with CF

Patients with CF may develop chronic hypoxaemia with increasing severity of their disease. A Cochrane review examined 11 published studies of oxygen therapy in CF but no studies examined the use of LTOT.²⁴ Recommendations for use are extrapolated from evidence in COPD patients.

Evidence statement

- ▶ The use of LTOT in patients with CF may improve survival and tissue oxygenation, and prevent complications associated with hypoxaemia such as worsening pulmonary hypertension. **Evidence level 4**

Recommendations

- ▶ LTOT should be ordered for patients with CF with a resting $\text{PaO}_2 \leq 7.3$ kPa. (Grade D)
- ▶ LTOT should be ordered for patients with CF with a resting $\text{PaO}_2 \leq 8$ kPa in the presence of peripheral oedema, polycythaemia (haematocrit $\geq 55\%$) or evidence of pulmonary hypertension. (Grade D)

LTOT in patients with pulmonary hypertension

Pulmonary hypertension may occur in a number of pulmonary vascular disorders such as idiopathic pulmonary arterial hypertension (IPAH), pulmonary arterial hypertension associated with portal hypertension, pulmonary arterial hypertension associated with connective tissues disease, drug-induced thromboembolism, pulmonary arterial hypertension and chronic thromboembolic pulmonary hypertension (CTEPH), which can all predispose to hypoxaemia. There is no evidence of the effectiveness of LTOT in RCTs in patients with pulmonary hypertension, with the exception of those patients who develop pulmonary hypertension as a complication of their COPD. Thus, use of LTOT in non-COPD patients with pulmonary hypertension is to improve tissue oxygenation and to prevent complications associated with hypoxaemia, such as worsening pulmonary hypertension, rather than to afford a specific survival benefit.

Evidence statement

- ▶ The use of LTOT in patients with pulmonary hypertension may improve tissue oxygenation and prevent complications associated with hypoxaemia rather than lead to a specific survival benefit. **Evidence level 4**

Recommendation

- ▶ LTOT should be ordered for patients with pulmonary hypertension, including idiopathic pulmonary hypertension, when the PaO_2 is ≤ 8 kPa. (Grade D)

LTOT in patients with neuromuscular or chest wall disorders

Patients with chest wall disease (kyphoscoliosis, thoracoplasty) and neuromuscular disorders develop nocturnal hypoventilation, which causes nocturnal hypoxaemia and leads to chronic respiratory failure. Non-invasive ventilation (NIV) is the treatment of choice in these patients, although LTOT may be required additionally, particularly in patients with severe restrictive disease or where there is co-existing airways disease or obesity causing hypoxaemia which NIV alone does not correct (although there are no studies of this approach).

Evidence statement

- ▶ LTOT can be used in addition to NIV in patients with neuromuscular or chest wall disorders, particularly where there is co-existing airways disease or obesity causing hypoxaemia which NIV alone does not correct. **Evidence level 4**

Recommendation

- ▶ NIV should be the treatment of choice for patients with chest wall or neuromuscular disease causing type 2 respiratory failure. Additional LTOT may be required in case of hypoxaemia not corrected with NIV. (Grade D)

LTOT in patients with advanced cardiac failure

Some patients with advanced cardiac failure may have resting hypoxaemia although hypoxaemia is most consistently demonstrated during sleep in these patients. There are studies of NOT in patients with heart failure (see the section on nocturnal oxygen therapy) but no studies of the effects of LTOT in patients with chronic heart failure. The use of LTOT in patients with advanced cardiac failure and resting hypoxaemia may lead to improved tissue oxygenation and prevent complications associated with hypoxaemia such as worsening pulmonary hypertension.

Evidence statement

- ▶ The use of LTOT in patients with advanced cardiac failure and resting hypoxaemia may improve survival, tissue oxygenation and prevent complications associated with hypoxaemia. **Evidence level 4**

Recommendations

- ▶ LTOT should be ordered for patients with advanced cardiac failure with a resting $\text{PaO}_2 \leq 7.3$ kPa. (Grade D)
- ▶ LTOT should be ordered for patients with advanced cardiac failure with a resting $\text{PaO}_2 \leq 8$ kPa in the presence of peripheral oedema, polycythaemia (haematocrit $\geq 55\%$) or evidence of pulmonary hypertension on ECG or echocardiograph. (Grade D)

Outcomes of LTOT in patients who continue to smoke

Accurate reports of individual smoking status can be difficult to obtain reliably in clinical practice. All trial data around smoking come from trials conducted with COPD patients.²⁵ Unfortunately, the small numbers of patients included in the main RCTs is not optimal in discriminating between the impact of LTOT on smokers and non-smokers.^{4, 5} There are no randomised or cohort studies investigating LTOT according to smoking status.

Cigarette smoking predisposes to secondary polycythaemia, accelerated decline in lung function and increased mortality in COPD. Thus, the beneficial effect of LTOT may be offset by raised carboxyhaemoglobin levels from continued cigarette smoking.²⁶ The MRC study did not exclude smokers but did 'urge all patients to give up smoking'.⁵ In the LTOT group, 52% of the patients were smokers (reduced to 44% at the end of the study). There were no recorded adverse events attributable to smoking in the MRC trial. There was an overall survival benefit in patients given oxygen (benefits in smoking and non-smoking populations were not reported separately).

The significant risk associated with combining cigarette smoking and oxygen therapy is reviewed in a later safety section of the guideline.

Evidence statement

- ▶ Evidence is insufficient to determine adverse clinical outcomes related to the effect of continuing smoking in LTOT patients compared to non-smokers. **Evidence level 2+**

Recommendation

- ▶ If LTOT is ordered for patients who are continuing to smoke, the potential for more limited clinical benefit should be discussed with the patient. (Grade D)

Referral and assessment of patients for LTOT

Referral to home oxygen assessment services provides the appropriate means for patients to be assessed for home oxygen therapy. Patients should have a definite diagnosis and be medically optimised prior to referral for assessment by an oxygen service. These services should have the appropriate clinical expertise, equipment and access to appropriate support services to enable the patient to have the best available care and outcomes, with the best use of resources. Guidance for commissioners in England and Wales has been published.²⁷

Patient information on referral for home oxygen assessment

In order to support a patient in understanding the implications of attending an assessment for home oxygen therapy, information is often given (whether verbal or written) at the time of referral. Failing to attend for initial assessment or reassessment could result in suboptimal treatment, poor clinical outcomes and wasted resources. There is a lack of published trial data on the impact of providing information on LTOT to patients in any format.

Evidence statement

- ▶ Provision of written and verbal information to patients at the point of referral to home oxygen assessment services can improve attendance at first referral. **Evidence level 4**

Recommendation

- ▶ Written and verbal information should be given to patients referred to home oxygen assessment services at the time of referral. (Grade D)

Use of oximetry as a screening tool for patient selection for LTOT

Measurement of oxygen saturation using a pulse oximeter is widely available and presents a possible tool to be used for screening patients who might be candidates for LTOT. Studies have examined the use of an SpO₂ value of ≤92% as a cut-off point at which patients will be deemed suitable for referral to an oxygen assessment service because of known evidence around ABG criteria for LTOT. Roberts *et al*²⁸ studied use of SpO₂ levels alone or in combination with FEV₁ in 113 COPD patients referred for LTOT, and showed that using an SpO₂ level of ≤92% resulted in 100% sensitivity but a specificity of only 69% in identifying patients with a PaO₂ <7.3 kPa. There was a particularly poor correlation between SpO₂ values between 85% and 90% and ABGs. A study using pulse oximetry in screening patients in general practice for LTOT assessed 13 of 114 patients with a resting SpO₂ of ≤92% and found three patients had a resting PaO₂ <7.3 kPa.²⁹ When Medicare guidelines for oxygen assessments were validated, an SpO₂ level of 85% was found to still miss patients who would have required an oxygen assessment, but did demonstrate that at this level an oxygen assessment was not necessary for a large proportion of patients.³⁰

Evidence statement

- ▶ An oxygen saturation (SpO₂) level of ≤92% can be used safely to identify patients for referral for LTOT. **Evidence level 2+**

Recommendation

- ▶ Patients with a resting stable oxygen saturation (SpO₂) of ≤ 92% should be referred for a blood gas assessment in order to assess eligibility for LTOT. (Grade C)

Good practice point

- ▶ In patients with clinical evidence of peripheral oedema, polycythaemia (haematocrit ≥55%) or pulmonary hypertension, referral for LTOT assessment may be considered at SpO₂ levels ≤94% to identify patients with a resting PaO₂ ≤8 kPa. (√)

Referral for home oxygen at hospital discharge

It is recognised that an exacerbation of a cardiorespiratory condition may result in temporary worsening of hypoxaemia which may improve over time with recovery. However, the time course of recovery may be variable and undertaking an assessment for home oxygen prior to optimal treatment and recovery could result in the overprescribing of home oxygen and unnecessary repeated assessments for the patient. However, clinicians are frequently faced with the practical difficulty of managing patients who, having been treated with oxygen during the acute phase of their illness, feel they require oxygen in order to be discharged safely home. These patients are either normoxaemic at rest or remain hypoxaemic at the point of hospital discharge.

Several studies have looked at the timing of assessment for LTOT. In an RCT of 546 COPD patients allowing for a 2-month period of clinical stability rather than prescribing LTOT immediately after exacerbation, resulted in a 36% absolute difference in those given LTOT at 2 months, with about a 15% difference at 1 year.³¹ There was no significant difference in quality of life, mortality or use of community health resources between the two groups at 1 year. A subgroup analysis of the NOTT trial showed that 184/409 (45%) patients in what was thought to be a clinically stable group on trial entry, subsequently improved their PaO₂ to levels which excluded them from the trial after at least a 4-week follow-up period.³² Observational studies of home oxygen patients (the majority having COPD) who were supplied with LTOT from hospital discharge or during a period of clinical instability found that 30–58% of patients reassessed 1–3 months later no longer met the criteria for LTOT.^{33–35} In a study in which ABGs were measured monthly in 77 COPD patients following hospitalisation, improvements in levels of hypoxaemia were seen at each time point, with 30% of patients no longer meeting the criteria for LTOT at 4 months.³⁶ Later withdrawal of LTOT if no longer required can lead to patient distress, and be challenging for staff to manage.³⁷

No studies have defined criteria for safe discharge home from hospital without home oxygen pending a formal LTOT assessment.

Evidence statement

- ▶ Patients referred for LTOT assessment after an exacerbation of COPD can show improvement in hypoxaemia with recovery above the threshold for LTOT after an 8-week period.

Evidence level 1+**Recommendation**

- ▶ Patients should undergo formal assessment for LTOT after a period of stability of at least 8 weeks from their last exacerbation. (Grade B)

Good practice points

- ▶ Patients who have borderline saturations (ie, 93–94%) should have their oxygen saturations monitored at their annual review with their GP or practice nurse, or sooner if they experience an exacerbation in the interim. (√)
- ▶ Patients who exacerbate frequently and are unable to achieve a period of stability lasting 8 weeks may need to be assessed at an earlier stage after exacerbation. If LTOT is ordered for such patients, they should be counselled that in the future LTOT may no longer be required once they achieve a more stable state. (√)

- ▶ Patients should not normally have LTOT ordered at the time of an acute exacerbation of their underlying condition. However, if home oxygen is ordered (eg, at hospital discharge), it should be limited to patients with an SpO₂ of ≤92%, who are breathless and unable to manage off oxygen. These patients should undergo a blood gases assessment and be counselled that in the future LTOT may not be required after formal reassessment. (√)
- ▶ The date of the patient's last exacerbation should be included in the referral request to the home oxygen assessment service. (√)

Use of pulse oximetry, ABGs and CBGs in assessment for LTOT

Assessment using pulse oximetry alone

Measurement of oxygen saturations (SaO₂) provides information on the percentage of available haemoglobin that is combined with oxygen (ie, oxyhaemoglobin). The relationship between oxygen saturations and the PO₂ in blood (PaO₂) is described by the oxyhaemoglobin dissociation curve. This curve is very steep once PaO₂ falls below 8 kPa (60 mm Hg) and thus small changes in PaO₂ can greatly change oxygen saturations—this characteristic allows the use of SaO₂ to give estimates of PaO₂ in hypoxaemic patients.

Whereas SaO₂ is measured directly from blood using CO-oximetry, pulse oximetry (SpO₂) measures oxygen saturations indirectly by comparing the absorbance of transmitted light before and during arterial pulsation at external sites such as earlobes or fingertips. This non-invasive tool carries a number of advantages as SpO₂ can be measured rapidly with portable equipment by staff who are not necessarily skilled in arterial puncture. Conversely, the ability of SaO₂ and SpO₂ to estimate PaO₂ is influenced by changes in the oxygen dissociation curve (eg, due to the presence of acidosis or changes in temperature), while oximetry alone cannot detect hypercapnia or acidosis.

Several studies have examined the use of pulse oximetry alone to determine LTOT requirement. In the largest study, 846 stable patients with chronic lung disease (74.2% COPD) underwent LTOT assessment using both SaO₂ (measured following ABG sampling) and SpO₂ measurements.³⁸ SpO₂ overestimated SaO₂ in the presence of hypercapnia (PaCO₂ >6.4 kPa, 48 mm Hg), while agreement between SpO₂ and SaO₂ was also poor under hypoxaemic conditions (PaO₂ <7.2 kPa, 54 mm Hg). A smaller study of 55 stable patients with chronic lung disease and a resting PaO₂ <8.65 kPa measured both PaO₂ and SpO₂ simultaneously on air at rest.³⁰ Using SpO₂ <88% as a threshold for prescribing LTOT would have led to 24–57% being denied LTOT and 7–21% being treated inappropriately, depending upon which brand of oximeter was used. Similar findings were reported from a study of 100 patients undergoing LTOT assessment, where using SpO₂ alone with a <88% threshold would have led to 56% of patients being inappropriately denied LTOT.³⁵ No patient would have had LTOT ordered unnecessarily.

Evidence statement

- ▶ Pulse oximetry (SpO₂) agrees poorly with ABG CO-oximetry (SaO₂) and arterial oxygen tension (PaO₂) and cannot be used alone to assess the need for LTOT. Evidence level 3

Recommendation

- ▶ Patients potentially requiring LTOT should not be assessed using pulse oximetry alone. (Grade D)

ABG and CBG

ABG sampling, performed via radial artery puncture, allows PaO₂, PaCO₂ and pH to be measured directly from arterial

blood. Evidence for using ABGs to select patients for LTOT comes from previously reviewed trials.^{4 5 36} In the NOTT trial, subjects underwent ABG sampling on two occasions more than 1 week apart during a 3-week observation period and were only recruited if they fulfilled the criteria of resting PaO₂ ≤7.33 kPa (55 mm Hg) or PaO₂ ≤7.86 kPa (59 mm Hg) in the presence of one of oedema, haematocrit ≥55% or P pulmonale on ECG on both occasions.⁴ In the MRC trial, ABG measurements were repeated more than 3 weeks apart in stable patients who were included if their resting PaO₂ was between 5.3 kPa (40 mm Hg) and 8 kPa (60 mm Hg).⁵

Although ABG sampling allows direct measurement of PaO₂, it involves puncture of the radial artery and thus can be painful and can only be performed by trained healthcare professionals. There may be other considerations to take into account, such as a patient's past experience of ABG sampling and whether they are on anticoagulants. CBG sampling conversely only requires a small sample of blood (125 µL) from a relatively superficial site (typically at the fingertip or earlobe). It is therefore less invasive, often better tolerated and can be performed by a wider range of healthcare professionals, although training and technique are still important to obtain adequately 'arterialised' samples. The difference in PO₂ levels at an arterial level versus venous level can be significant, typically 8 kPa (60 mm Hg) at rest and up to 10 kPa (75 mm Hg) during exercise.³⁹ To help raise capillary PO₂ to a level closer to arterial PO₂, a number of manoeuvres can be used prior to sampling, including the use of topical vasodilators and heat.

A meta-analysis included 886 subjects from 29 studies.⁴⁰ The studies included both healthy subjects and patients with chronic lung disease under a number of situations, including high altitude. Both earlobe CBGs and fingertip CBGs were compared against ABGs, and both gave accurate estimates of pH and PaCO₂, although earlobe sampling gave a more accurate estimate for PaCO₂. Earlobe CBGs were superior to fingertip CBGs in estimating PaO₂ but continued to underestimate PaO₂ by a mean of 0.32 kPa (2.4 mm Hg) difference (1.9–2.8), residual SE 0.8 kPa (6 mm Hg). The authors concluded that earlobe sampling gave a reasonable estimate of PaO₂ unless precision was required.

In a comparison of simultaneous earlobe CBGs and radial ABGs from 40 patients with chronic lung disease, including 29 patients with COPD,⁴¹ there was a good correlation between CBGs and ABGs for estimating PaO₂, with CBGs underestimating PaO₂ by a mean of just 0.17 kPa, albeit with a relatively wide 95% CI (–1.09 kPa to +0.75 kPa). A subgroup analysis suggested that CBGs were more accurate in hypoxaemic patients, with CBGs underestimating by <0.5 kPa in 'nearly all' patients with PaO₂ <8 kPa. In another study carrying out a comparison of simultaneous PaO₂ and earlobe CBG measurements in 100 patients undergoing LTOT assessment, CBGs alone would have resulted in 9/55 (ie, 16%) receiving LTOT inappropriately. No patients would have been denied LTOT.³⁵ Conversely, patients found ABGs more uncomfortable than CBGs (p<0.0001).

A repeat ABG after oxygen titration is completed allows accurate reassessment of PaO₂, PaCO₂ and pH, but can be uncomfortable for patients. Cutaneous capnography was used to reassess PaCO₂ in comparison with ABGs in 20 subjects with chronic lung disease who received oxygen at gradually increasing rates until SaO₂ was >90%.⁴² Capnography accurately estimated PaCO₂ with minimal bias. Earlobe CBGs have also been shown to give accurate estimates of pH and PaCO₂ that are comparable to those achieved from ABGs.⁴⁰

No studies were identified which showed that ABGs provided inaccurate results due to patient hyperventilation secondary to

pain induced by the procedure. In clinical practice, many services routinely use local anaesthetic when performing radial ABGs. There are also no outcome data comparing complication rates between radial ABGs and earlobe CBGs.

Evidence statements

- ▶ ABG sampling, performed twice at least 3 weeks apart, during a stable phase of their condition, identifies patients who may benefit from LTOT. **Evidence level 1+ +**
- ▶ Both earlobe and fingertip CBGs provide accurate estimates of arterial carbon dioxide tension and arterial pH during LTOT assessment and oxygen titration. **Evidence level 1+**
- ▶ Earlobe CBGs provide a more accurate estimate of arterial oxygen tension than fingertip CBGs. **Evidence level 1+**
- ▶ Use of earlobe CBGs alone for LTOT assessment leads to some patients inappropriately receiving LTOT. **Evidence level 3**
- ▶ Patients tolerate earlobe CBG testing better than ABG sampling. **Evidence level 3**
- ▶ During an LTOT assessment, cutaneous capnography can be used in place of ABG sampling for reassessing PaCO₂ but not pH after oxygen titration. **Evidence level 3**

Recommendations

- ▶ Patients being assessed for LTOT should undergo initial assessment for suitability using ABG sampling. (Grade A)
- ▶ Patients assessed for LTOT during a period of apparent clinical stability should undergo two ABG measurements at least 3 weeks apart, before the need for LTOT can be confirmed. (Grade B)
- ▶ Patients undergoing LTOT assessment should be reassessed with ABG after oxygen titration is complete to determine whether adequate oxygenation has been achieved without precipitating respiratory acidosis and/or worsening hypercapnia. (Grade D)
- ▶ For oxygen titration during LTOT assessment, CBG sampling can be used in place of ABG sampling for re-measuring PaCO₂ and pH at different oxygen flow rates. (Grade A)
- ▶ For oxygen titration during LTOT assessment, cutaneous capnography can be used in place of ABG sampling for re-measuring PaCO₂ alone but not pH at different oxygen flow rates. (Grade A)

Good practice points

- ▶ Patients undergoing a radial ABG should be assessed with an Allen's test first, to ensure they have a dual blood supply to the hand from both radial and ulnar arteries. (✓)
- ▶ Patients undergoing a radial ABG should be consented for the procedure with a discussion of possible risks. (✓)
- ▶ In many community commissioned home oxygen service—assessment and review (HOS-AR) services, it is not practical for patients to undergo ABG sampling during LTOT assessment. Under such circumstances, a combination of CBGs and oximetry (but not capnography) could be used as an alternative tool for initial assessment for LTOT, and after oxygen titration is complete. Some patients may receive LTOT unnecessarily using this approach, but it is unlikely that any patient would be inappropriately denied LTOT. (✓)

Management of hypercapnia during LTOT assessment

Patients with chronic lung disease may develop resting hypercapnia as the severity of their disease progresses. In such cases, oxygen supplementation can cause suppression of existing hypoxaemic respiratory drive with consequent diminution of minute ventilation and worsening hypercapnia and V/Q

mismatch. This has the potential to lead to the development of respiratory acidosis and progressive ventilatory failure.

Few studies exist to support best practice where patients became acidotic or excessively hypercapnoic during an LTOT assessment. Neither the MRC⁵ nor the NOTT⁴ studies excluded patients with hypercapnia. In the MRC study, average PaCO₂ ranged between 7.1 and 7.3 kPa (53.2–54.9 mm Hg) for both control and treated groups. Subjects received oxygen at 2 L/min or higher if necessary to achieve PaO₂ >8 kPa (60 mm Hg), and no hypercapnia-related issues during the assessment process were reported. Although the NOTT study did not explicitly exclude patients with hypercapnia, patients in both groups were mostly normocapnoic (mean PaCO₂ 5.7 kPa, 43 mm Hg).⁴ Again no hypercapnia-related issues during the assessment process were reported. Chiang *et al*⁴³ studied ventilatory responses to CO₂ stimulation in 26 COPD patients, 12 with resting hypercapnia, following oxygen supplementation with 2 L/min oxygen. Hypercapnic patients showed a rise in mean PCO₂ from 7.1±0.2 kPa to 7.8±0.3 kPa without developing acidosis and also a blunted response to CO₂ stimulation. However, there were no reported adverse clinical events during this short-term study.

Evidence statement

- ▶ Patients with baseline hypercapnia can undergo LTOT assessment without adverse outcome but require monitoring of pH and PCO₂ levels during and at the end of assessment. **Evidence level 4**

Recommendation

- ▶ Patients with baseline hypercapnia should be monitored for the development of respiratory acidosis and worsening hypercapnia using ABGs after each titration of flow rate, as well as ABG sampling after oxygen titration is complete. (Grade D)

Good practice points

- ▶ Patients who develop a respiratory acidosis and/or a rise in PaCO₂ of >1 kPa (7.5 mm Hg) during an LTOT assessment may have clinically unstable disease. These patients should undergo further medical optimisation and be reassessed after 4 weeks. (✓)
- ▶ Patients who develop a respiratory acidosis and/or a rise in PaCO₂ of >1 kPa (7.5 mm Hg) during an LTOT assessment on two repeated occasions, while apparently clinically stable, should only have domiciliary oxygen ordered in conjunction with nocturnal ventilatory support. (✓)

Use of LTOT: hours of use and flow rates

LTOT hours of use

The benefits of LTOT are derived from normalisation of abnormal physiology driven by chronic hypoxaemia and have been achieved with use of LTOT for 15 h/day.⁵ Therefore, there is a hypothetical advantage of longer durations of oxygen therapy in correcting these abnormalities for greater periods of each day and particularly at night, when hypoxaemia may be more profound during sleep. Comparison of the effects of 12 h NOT with continuous oxygen (24 h) therapy in the NOTT study demonstrated a 1.94 times higher mortality in the NOT group: this survival benefit may be offset by the practicalities of increased oxygen use and the impact upon mobility.⁴

In a pragmatic 5-year follow-up study of 228 patients for whom an oxygen concentrator was ordered, comparisons were made between no oxygen use (n=55), oxygen use for <15 h per day (n=112) and oxygen use for >15 h per day (n=61). Overall survival at 2 years was better in the groups receiving oxygen compared to the no oxygen group, but there was no difference between the oxygen groups.⁸

There is no evidence base for duration of LTOT use in non-COPD respiratory disease or cardiac disease.

Evidence statements

- ▶ LTOT ordered for COPD patients for at least 15 h and up to 24 h per day confers a mortality benefit and improvement in physiological indices. **Evidence level 1+**
- ▶ Use of LTOT for 24 h versus 12 h offers additional benefits especially for COPD patients with more severe disease (higher PaCO₂, higher haematocrit, higher pulmonary artery pressure and more neuropsychological impairment). **Evidence level 2+**

Recommendation

- ▶ LTOT should be ordered for a minimum of 15 h per day, and up to 24 h per day may be of additional benefit. (Grade C)

LTOT flow rates

Daytime activity and LTOT flow rates

A flow rate based on a single measure of oxygenation at rest may not necessarily guarantee adequate oxygenation during day-to-day activities where oxygen requirements may fluctuate. Although transient hypoxaemia could temporarily increase both pulmonary artery pressures and the risk of arrhythmias, it is unclear to what degree such fluctuations in oxygenation during daily life can offset the potentially beneficial effects of LTOT. Patients in the MRC study were started on a flow rate of 2 L/min, which was increased incrementally until a PaO₂ >8 kPa (60 mm Hg) was achieved,⁵ and patients in the NOTT trial started on a flow rate of 1 L/min, which was increased in 1 L/min increments up to a maximum of 4 L/min until PaO₂ >8 kPa (60 mm Hg) was achieved.⁴ Flow rates were not altered to reflect exercise. However, a number of studies suggest that determining flow rates using a single measure of PaO₂ at rest may not guarantee adequate oxygenation during exercise:^{44–47} stable COPD patients receiving LTOT at a single flow rate spent between 70% and 87% of the daytime with SpO₂ >90% when performing day-to-day activities. Individual tailoring of flow rates to suit patients' requirements during exercise, rest and sleep can reduce median oxygen flow rate from 2.5 to 1.2 L/min, while the percentage of time SpO₂ was within the target range increased from 24.8% to 52.8% (p=0.001).⁴⁸

Nocturnal oxygen requirements and LTOT

Patients can desaturate during sleep as a result of reduced minute ventilation and impaired ventilatory responses and so oxygen requirements overnight may also differ from those at rest when awake. Several studies have suggested that a flow rate established from resting ABGs while awake may not allow adequate oxygenation overnight with patients spending only between 72% and 77% of the time with SpO₂ >90% overnight.^{46–49–50} Patients with more severe COPD and worse daytime ABGs spent a significantly greater proportion of the night with hypoxaemia.⁵¹ In the NOTT study, oxygen was automatically increased by 1 L/min during sleep without reported adverse events.⁴ No data were found with respect to other diagnostic patient groups.

Evidence statements

- ▶ Patients for whom LTOT is ordered at a single flow rate sufficient to achieve PaO₂ >8 kPa (60 mm Hg) at rest demonstrate a survival benefit from LTOT. **Evidence level 1+**
- ▶ LTOT ordered at a single flow rate to provide adequate oxygenation at rest may offer inadequate oxygenation during exercise and/or sleep. **Evidence level 3**
- ▶ LTOT ordered for patients at different flow rates for use during sleep and exercise demonstrates a survival benefit from LTOT. **Evidence level 1+**

Recommendations

- ▶ Patients eligible for LTOT should be initiated on a flow rate of 1 L/min and titrated up in 1 L/min increments until SpO₂ >90%. An ABG should then be performed to confirm that a target PaO₂ ≥8 kPa (60 mm Hg) at rest has been achieved. (Grade B)
- ▶ Non-hypercapnic patients initiated on LTOT should increase their flow rate by 1 L/min during sleep in the absence of any contraindications. (Grade B)
- ▶ Patients initiated on LTOT who are active outdoors should receive an ambulatory oxygen assessment to assess whether their flow rate needs to increase during exercise. (Grade B)

Good practice points

- ▶ Ambulatory and nocturnal oximetry may be performed to allow more accurate flow rates to be ordered for use during exercise and sleep, respectively. (√)
- ▶ Patients initiated on LTOT who have cognitive, visual or coordination impairments, may not be able to safely manipulate their own flow rates and should be maintained on a single flow rate. (√)
- ▶ Flow rates may be increased at 20 min intervals during an oxygen titration until a target PaO₂ is achieved. (√)

Patient education at time of assessment

A few studies have evaluated the provision of patient education, usually in the form of verbal or written information, at the time of oxygen assessment. A comparison of patients who had received formal assessment with ABGs on two separate occasions together with education by a specialist respiratory team with patients commencing LTOT in primary care, mostly on the basis of oximetry alone, demonstrated a significantly higher compliance (82% vs 44%; p=0.002) and understanding of the rationale for treatment (93% vs 41%; p<0.00001).⁵² These findings were supported by a large case series of 930 patients in whom education consisting of a home visit by a nurse or physiotherapist was an important factor in those patients' compliance with ≥15 h/day of oxygen use.⁵³ Ordering LTOT on hospital discharge does not prepare patients for a follow-up assessment or the implications of oxygen removal if they no longer meet the criteria for LTOT:⁵⁴ psychological dependence on oxygen therapy was reported as a major issue in these patients, causing distress for patients and staff as well as requiring significant resources and expertise to address.

Evidence statements

- ▶ Patients initiated on LTOT without formal education exhibit poor compliance with therapy. **Evidence level 2+**
- ▶ Providing written information to patients commenced on home oxygen in hospital does not prepare them for follow-up or the implication of not meeting the criteria for LTOT. **Evidence level 3**

Recommendations

- ▶ Patients initiated on LTOT should be provided with formal education by a specialist home oxygen assessment team to ensure compliance with therapy. (Grade D)
- ▶ Patients being commenced on home oxygen on discharge from hospital should be advised that home oxygen may be removed if reassessment shows clinical improvement. (Grade D)

Follow-up of LTOT patients

Follow-up of LTOT patients is necessary for a variety of reasons: to ensure that LTOT treatment is still required, that the oxygen order is still adequate (and therefore that the potential

for healthcare gains such as survival are realised), that patients are compliant with treatment, and that any concerns or problems are addressed. Although home oxygen patients may be a relatively small group of lung disease patients in general, they are a very resource intensive group. Targeted follow-up of this group could provide significant benefits in terms of cost effective healthcare utilisation.

The original MRC and NOTT LTOT studies both provided titration of flow rate at a 3-month follow-up appointment as part of their protocol.^{4 5} Cottrell *et al*⁵⁵ randomised 50 LTOT patients to follow-up at 2, 6 or 12 months. They costed hospital interventions given/required over a 1-year period, and found that the 2-monthly follow-up group had significantly higher evaluation costs with no benefit in terms of emergency department or hospital visits, length of stay in hospital or mortality: the only clinical benefit shown was an improvement in the psychological component of the sickness impact profile.

Other studies have focused on the setting for reassessment using different models, some of which might now be called 'integrated respiratory services'. Cross-sectional studies have reported 'added value' from reviewing patients in their homes, which included identifying and correcting problems with the concentrator, humidifier, the length of the patient's tubing and factors impacting on the patient's usage.^{56 57} In a prospective 10-year case-control study in which 217 LTOT patients were randomised to 'home care' (defined as 6-monthly hospital appointments and 2-3-monthly home visits) or standard care (management by hospital physician only), home care decreased exacerbation rates.⁵⁸ Randomisation of 122 LTOT patients to follow-up with a hospital-based homecare programme (monthly phone call, home visits every 3 months, and home or hospital visits on a demand basis) or conventional medical care, demonstrated significantly decreased costs in the homecare follow-up group, which was mainly due to a reduction in use of hospital resources, despite the cost of running the service itself.⁵⁹ Reports from focus groups⁶⁰ and case series⁵³ highlight the importance of education from specialist nurses or physiotherapists in increasing compliance and addressing patient concerns.

Withdrawal of home oxygen

Case series in which LTOT patients have been followed up have shown that a significant proportion of patients no longer required oxygen as originally ordered.^{33 61} In addition, it has been well recognised that compliance with LTOT can be poor and that withdrawal of home oxygen through non-use is sometimes indicated. Withdrawal of LTOT can be distressing to patients, challenging for staff and entail a significant use of resources.³⁷ See appendix 7 for a suggested protocol for withdrawal of home oxygen therapy.

Evidence statements

- ▶ Follow-up of LTOT patients 3 months after starting LTOT, can ensure that LTOT is still required and that the flow rate is appropriate. **Evidence level 1+**
- ▶ Six-monthly follow-up has a similar effect to 2-monthly follow-up in terms of healthcare utilisation but at decreased cost. **Evidence level 3**
- ▶ Home follow-up alone or in combination with hospital follow-up is more effective than hospital follow-up. **Evidence level 2+**
- ▶ Follow-up with a specialist home oxygen assessment team including education improves compliance with LTOT. **Evidence level 3**

Recommendations

- ▶ LTOT patients should receive follow-up at 3 months after LTOT is ordered, which should include assessment of blood

gases and flow rate to ensure LTOT is still indicated and therapeutic. (Grade A)

- ▶ LTOT patients should receive follow-up visits at 6-12 months after their initial 3-month follow-up, which can be either home based or in combination with hospital or clinic visits. (Grade D)
- ▶ Follow-up visits should be conducted by a specialist home oxygen assessment team with the necessary skills to deliver patient education and manage withdrawal of home oxygen. (Grade D)

Good practice point

- ▶ All patients for whom LTOT has been ordered should be visited at home within 4 weeks by a specialist nurse or healthcare professional with experience of domiciliary oxygen therapy. The visit provides an opportunity to highlight potential risks and should be used to reinforce education and offer support to the patient and carer. Compliance may be checked, along with smoking status, symptoms of hypercapnia and oxygen saturations on oxygen to check that oxygen is therapeutic. (✓)

NOCTURNAL OXYGEN THERAPY

NOT is oxygen administered overnight alone without additional oxygen therapy during awake or daytime hours. Before daytime resting hypoxaemia develops, many patients develop nocturnal or sleep time oxygen desaturation due to a combination of worsening V/Q mismatch in a supine posture and lack of drive to ventilatory muscles during sleep. This section refers to patients who are either normoxic during the day, or have mild daytime hypoxaemia but do not fulfil LTOT criteria.

NOT in COPD patients with nocturnal desaturation

The worsening of hypoxaemia during sleep in patients with advanced COPD has been well established in many studies. There is retrospective evidence that nocturnal desaturation is associated with worse survival. The evidence as to whether patients who fail to meet the criteria for LTOT but are hypoxaemic during sleep benefit from NOT is assessed here.

There are only a small numbers of studies addressing this population of patients. Of these, three studies examined outcomes over 2-3 years, and three studies looked at mortality. Patients were recruited from out-patients settings, largely in teaching hospitals. In a multicentre study, 76 patients with COPD (obstructive sleep apnoea (OSA) excluded) were identified as having nocturnal desaturation but did not qualify for LTOT.⁶² A total of 41 patients were randomised to receive NOT which was titrated to achieve saturations >90% throughout the night. In the follow-up period, 22 patients went on to develop hypoxaemia requiring LTOT, 16 patients died (nine in the LTOT group), and there was no difference in the PAP (measured by cardiac catheter) between the two groups. A double-blind crossover study⁶³ randomised 23 patients with COPD and nocturnal hypoxaemia to receive air or NOT over a 1-night period. No difference was seen in the quality of sleep (assessed by questionnaire and EEG) between the two groups. In six centres, 203 patients diagnosed with COPD and significant hypoxaemia (PaO₂ <7.8 kPa, 59 mm Hg) were randomised to continuous oxygen therapy (24 h) or NOT (12 h).⁴ The primary end point was all cause mortality. There was a 1.94 times increase in 'all cause' mortality in the NOT group compared to the continuous oxygen therapy group. A multicentre retrospective study investigated the data of patients from five centres who had polysomnography performed.⁶⁴ Patients had mild to moderate daytime

hypoxaemia with a $\text{PaO}_2 > 60$ mm Hg (8 kPa) and evidence of desaturation during sleep without signs of sleep apnoea. A total of 169 subjects with COPD (77 desaturators and 92 non-desaturators) were analysed. The mean survival was significantly less in the desaturator group (2.89 ± 1.7 years vs 3.7 ± 1.7 years; $p < 0.003$). Thirty-five of the desaturator group were reported to have received some form of oxygen supplementation, however it was not clear how many hours this was for or if it was used nocturnally; on analysis it did not alter survival. In a double-blind study of 51 patients with moderate COPD and daytime $\text{PaO}_2 > 60$ mm Hg including 38 with desaturation, patients were allocated to receive NOT at 3 L/min or room air.⁶⁵ After 3 years, the NOT-treated group PAP had reduced by 0.49 kPa (3.7 mm Hg) and had increased in the air-treated group by 0.52 kPa (3.9 mm Hg; $p < 0.02$). There was no difference in mortality; however, only nine in the sham group and seven in the oxygen group completed the study.

Evidence statements

- ▶ Patients with mild daytime hypoxaemia and nocturnal hypoxaemia have a worse survival compared to patients with no nocturnal desaturation. **Evidence level 1+**
- ▶ When administered to patients who are either normoxaemic or have baseline ABG levels above the threshold for LTOT, NOT alone does not show consistent improvements in pulmonary haemodynamics leading to a survival advantage. **Evidence level 1+**
- ▶ No additional significant benefit in sleep quality is derived from nocturnal supplemental oxygen in patients with nocturnal hypoxaemia. **Evidence level 1–**

Recommendation

- ▶ NOT is not recommended in patients with COPD who have nocturnal hypoxaemia but who fail to meet the criteria for LTOT. (Grade A)

Good practice point

- ▶ Other causes of nocturnal desaturation in COPD should be considered such as obesity hypoventilation, respiratory muscle weakness or OSA. (✓)

NOT in patients with cardiac disease and nocturnal desaturation

A variety of factors can contribute to the development of nocturnal hypoxaemia in patients with heart failure: hypoventilation during sleep, reduced oxygen stores due to restricted lung volumes, sleep disordered breathing (SDB) and impaired gas exchange due to ventilation–perfusion mismatch. As previously, this section refers to patients who are either normoxic during the day, or have mild daytime hypoxaemia but do not fulfil LTOT criteria.

SDB in heart failure is due to central sleep apnoea (CSA) associated with Cheyne-Stokes respiration (CSR), often in combination with OSA. These frequently co-exist, and can be clinically difficult to differentiate. The presence of SDB is associated with atrial fibrillation and a worse New York Heart Association (NYHA) functional class. It is commoner in male patients, those over 60 years of age, and those with daytime hypocapnia ($\text{PaCO}_2 < 5.06$ kPa, 38 mm Hg). SDB in heart failure can have few symptoms and come to light following reports by carers, or presents with symptoms of disrupted sleep such as increased daytime sleepiness, poor subjective sleep quality, insomnia, inattention and poor concentration. Recurrent nocturnal desaturations can lead to paroxysmal nocturnal dyspnoea, morning headaches, nocturnal angina and arrhythmias. SDB is important in the context of heart failure as it can predict mortality and

also contribute to disease progression (through intermittent hypoxaemia and arousals inducing adrenergic surges and negative intra-pleural pressure swings which increase left ventricular transmural pressure leading to an increase in afterload). Some international guidelines on heart failure management advocate screening for SDB in selected patients. Treatment approaches have been to maximise treatment for the underlying cardiac disorder, and to consider additional therapies which include NOT or ventilatory support such as continuous positive airways pressure (CPAP), adaptive servo-ventilation (ASV) or NIV.

There are significant limitations in the evidence of the impact of treatment of SDB on heart-related outcomes: most studies evaluate surrogate outcomes (blood pressure, cardiac function, catecholamines) rather than clinically important outcomes (health-related quality of life, hospitalisation and mortality). In addition, the literature evaluating NOT against modalities of ventilation is limited.

Effect of NOT on SDB in severe cardiac disease

Several studies examined the effects of low flow oxygen (2–4 L/min delivered by nasal cannulae) on SDB in patients with moderate to severe heart failure. In two non-randomised studies, SDB was assessed using the Apnoea Hypnoea Index (AHI) and total sleep time as outcome measures, thus including both central and obstructive apnoeas. One of these trials reported findings on in-patients with severe heart failure and CSR on a transplant waiting list and showed that NOT led to a significant improvement in sleep quality after 1 night, which effect was sustained by use over 1 month (AHI reduced from 57 ± 61 events/h to 12 ± 17 events/h).⁶⁶ In the other non-RCT of patients with moderate to severe heart failure (LVEF $< 45\%$), NOT significantly reduced total AHI in 41% of patients (mainly reducing the CSA index) but did not affect total sleep time.⁶⁷ Two RCTs of moderate to severe heart failure patients with CSR showed a reduction in CSR after 1 night of treatment with oxygen from $50.7 \pm 12\%$ to $24.2 \pm 5.4\%$ of total sleep time and after 4 weeks from $33.6 \pm 7.4\%$ to $10.7 \pm 3.9\%$ of total sleep time, respectively.^{68 69} In addition, Staniforth *et al*⁶⁹ reported a reduction in CSAs from 18.4 ± 4.1 /h to 3.8 ± 2.1 /h. Despite these improvements in sleep study parameters, no improvement in patient-reported symptom scores of sleep fragmentation were seen including the Epworth sleepiness scale and visual analogue scale (VAS),⁶⁹ or the SF-A sleep questionnaire.⁷⁰

Effect of NOT on cardiac function in severe cardiac disease

Studies examined cardiac function using transthoracic echocardiograms, assessment of NYHA functional class and plasma or urinary neuropeptide levels. No studies assessed the effects on nocturnal angina. No change in left ventricular function was seen following NOT.^{66 67 71 72} Despite no demonstrable improvement in echocardiogram parameters, one study reported a statistically significant improvement in NYHA functional class compared with an untreated control group after 52 weeks of NOT use.⁷¹ No studies demonstrated any effect on plasma or urinary neuropeptide levels. Two studies did not demonstrate any reduction in the frequency of ventricular arrhythmias during sleep.^{67 73}

Effect of NOT on quality of life, activity and cognition in severe cardiac disease

Quality of life assessed using a disease-specific questionnaire in a 4-week crossover study showed no improvement,⁶⁹ whereas a case series also reporting after 4 weeks and using the Minnesota Living with Heart Failure (MLHF) Questionnaire did show

improvement.⁷⁴ Another study used the Dartmouth CO-OP Functional Health Assessment Charts which showed no improvement in daytime symptoms after 1 week.⁷⁰

Exercise capacity was assessed in two case series of patients using NOT for 4 weeks⁷⁴ and 3 months:⁷² both reported an improvement in the 6-minute walk test (6MWT). Activity assessed by the Specific Activity Scale showed significant improvement in a 52-week RCT.⁷¹

One study examined effects on cognitive function in detail in patients receiving NOT using a variety of measures.⁶⁹ No improvements were seen after 4 weeks in this double-blind cross-over study. In contrast, Andreas *et al* used some similar measures which did demonstrate improvement after NOT.⁷⁰

Effect of NOT on healthcare utilisation or mortality

No studies evaluated the effects of NOT use on healthcare utilisation or mortality: most studies evaluate surrogate outcomes.

Evidence statements

- ▶ Treatment of heart failure patients who are symptomatic from SDB with NOT leads to a reduction in SDB. **Evidence level 1–**
- ▶ Treatment of symptomatic severe heart failure patients with NOT leads to modest improvement in exercise capacity. **Evidence level 3**
- ▶ Treatment of heart failure patients with NOT does not lead to improvement in quality of life, cognitive function, or cardiac function including ventricular arrhythmias. **Evidence level 1+**

Recommendation

- ▶ NOT can be ordered for severe heart failure patients who do not fulfil indications for LTOT, and have evidence of SDB leading to daytime symptoms, after other causes of nocturnal desaturation have been excluded (eg, obesity hypoventilation or OSA) and heart failure treatment has been optimised. Treatment with modalities of ventilatory support should also be considered. (Grade B)

Good practice point

- ▶ If NOT is ordered for patients with severe heart failure, it should be ordered at a low flow rate of 1–2 L/min and response should be assessed by a reduction in symptoms of daytime sleepiness, and SDB indices as measured by an overnight oximetry study. A blood gas assessment should be undertaken to exclude worsening hypercapnia and respiratory acidosis. Treatment with modalities of ventilatory support should be considered for patients who are hypercapnic. (√)

NOT in patients with other respiratory diseases and nocturnal desaturation

Use of NOT in patients with CF

Patients with CF develop progressive airflow obstruction, ventilatory failure and nocturnal desaturations with sleep fragmentation (which may in addition result from cough). Development of nocturnal hypoxaemia and hypercapnia are known to be poor prognostic signs in patients with CF and use of NOT has been examined to see whether it will improve blood gas parameters and so improve prognosis. Four studies have examined the use of NOT in adult patients with CF, of which two studies were designed to evaluate the role of bi-level positive airway pressure (BiPAP) and used NOT, with and without air, in their control arm. All studies were single night studies of the varying modalities of NOT and between them examined effects on sleep quality, blood gas parameters and ventilation. No studies

examined effects on pulmonary hypertension, quality of life, activity and cognition, or healthcare utilisation.

Twenty-eight patients with CF who received NOT were followed over 2 years.⁷⁵ No statistically significant improvement in survival, lung or cardiac outcomes was seen, although school and work attendance had improved. However, actual hours of oxygen use were low. In a small RCT of 10 patients with mean FEV₁ <25% predicted (four with daytime hypercapnia), patients who were randomised to receive NOT over 2 nights rather than room air improved overnight oxygen saturation levels, but did not improve sleep parameters.⁷⁶ Transcutaneous PCO₂ rose in all stages of sleep, predominantly in REM sleep, but not to a level which was felt to be clinically significant. A small study of six subjects with mean FEV₁ <29% predicted (two with daytime hypercapnia) reported results receiving room air, BiPAP or NOT over 3 nights in random order.⁷⁷ NOT led to improved overnight oxygenation but no change in sleep quality. However, two patients developed symptomatic hypercapnia which was not seen with BiPAP and NOT given together, where there was substantial improvement in levels of hypercapnia. Another similar small study of 13 patients with mean FEV₁ <32% predicted (six with daytime hypercapnia) showed a non-significant rise in transcutaneous CO₂ with NOT which improved with BiPAP.⁷⁸

Evidence statements

- ▶ Treatment of CF patients with NOT improves nocturnal oxygenation but there is no evidence of long-term benefit on survival. **Evidence level 1+**
- ▶ Treatment of CF patients with NOT does not improve sleep quality. **Evidence level 1+**
- ▶ Treatment of CF patients with NOT can cause hypercapnia, which can be improved with provision of NIV along with NOT. **Evidence level 1+**

Recommendation

- ▶ NOT should not be given to CF patients with nocturnal hypoxaemia alone who do not fulfil LTOT criteria. It can be considered in patients with evidence of established ventilatory failure, where it should be given with NIV support. (Grade B)

Use of NOT in patients with ILD

Patients with ILD have been found to develop progressive day and night-time hypoxaemia, sleep disruption and poor sleep quality. Evidence is limited in this area and no studies have examined the long-term use of nocturnal oxygen or its effects on mortality, pulmonary haemodynamics or healthcare utilisation in ILD. Only one study has prospectively examined the effect of NOT in patients with ILD compared with air.⁷⁹ This was a 2-night study comparing room air with NOT titrated at 1–3 L/min via nasal prongs to give an oxygen saturation reading of >90%. However, the study took place among long-term residents of Mexico city who were therefore acclimatised to living at altitude ('normal' control subjects had a mean PaO₂ of 6.7 kPa). They found that NOT corrected nocturnal hypoxaemia, improved tachycardia and tachypnoea but that there was no change in sleep efficiency.

Evidence statements

- ▶ Treatment of ILD patients with nocturnal episodic hypoxaemia, but without established daytime blood gas abnormalities, with NOT improves nocturnal oxygenation, but there is no evidence of long-term benefit on survival. **Evidence level 1+**
- ▶ Treatment of ILD patients with NOT does not improve sleep quality. **Evidence level 1+**

Recommendation

- ▶ NOT should not be given to patients with ILD with nocturnal hypoxaemia alone, who do not fulfil LTOT criteria. (Grade B)

Use of NOT in patients with neuromuscular weakness

Patients with neuromuscular weakness can develop progressive weakness of all muscle groups including respiratory muscle weakness. If this occurs, they may develop nocturnal desaturation, particularly during REM sleep, prior to developing daytime type 2 respiratory failure. No studies have examined the long-term use of nocturnal oxygen or its effects on mortality, pulmonary haemodynamics or healthcare utilisation in neuromuscular weakness. Evidence comes from one study which examined the use of NOT in patients with Duchenne muscular dystrophy, who had normal daytime blood gases but evidence of episodic nocturnal hypoxaemia.⁸⁰ No beneficial effect was found on sleep quality, but there was a significant worsening of the duration of hypopnoeas and central apnoeas. In addition, levels of hypercapnia were not monitored and the concern that NOT in the absence of NIV support may worsen ventilatory failure remains.

Evidence statement

- ▶ Treatment of patients with neuromuscular weakness and nocturnal episodic hypoxaemia with NOT, without established daytime blood gas abnormalities, does not improve sleep quality and worsens CSA. **Evidence level 1+**

Recommendation

- ▶ Patients with neuromuscular weakness affecting respiratory muscles should not have NOT alone ordered. It can be considered in patients with evidence of established ventilatory failure, where it should be given with NIV support. (Grade B)

Use of NOT in patients with Cheyne-Stokes respiration, obesity hypoventilation syndrome and overlap syndrome

Patients with OSA experience recurrent episodic desaturation throughout the night, which leads to sleep fragmentation, which usually manifests as daytime sleepiness. Treatment for moderate to severe OSA is with a combination of weight loss and CPAP treatment. Some obese patients may develop obesity hypoventilation syndrome (OHS) defined as obesity with body mass index (BMI) >30 kg/m² and awake hypercapnia in the absence of other causes of hypoventilation. In these patients there can be sustained nocturnal hypoxaemia. Some patients can develop an 'overlap syndrome' in which there is a combination of OHS and OSA (often with underlying lung disease such as COPD) with worsening daytime ventilatory failure.

There are no trials of home oxygen therapy in the treatment of OHS or overlap syndrome. Oxygen has been used as an add-on therapy to NIV.

Recommendation

- ▶ Patients with OSA, OHS or overlap syndrome should not have NOT alone ordered. It can be considered in patients with evidence of established ventilatory failure, where it should be given with NIV support. (Grade D)

AMBULATORY OXYGEN THERAPY

AOT is defined as the use of supplemental oxygen during exercise and activities of daily living.⁸¹ In mobile patients who are not sufficiently hypoxaemic to qualify for LTOT but who

desaturate on exercise, AOT has historically been used to optimise saturations and short-term exercise capacity. AOT is also often supplied to LTOT users, either to allow those who are mobile outdoors to optimise their exercise capacity and achieve their recommended hours per day usage, or to enable more immobile patients to leave the house in a wheelchair/scooter on occasion, for example for hospital appointments. In some patient groups such as those with CF, AOT may be used to maintain an exercise regime or to enable effective airways clearance.

AOT in patients not eligible for LTOT

There are a number of hypothetical benefits from the use of AOT in patients who are not hypoxaemic at rest but who desaturate on exercise, including increased oxygen transport, allowing greater utilisation of oxygen by exercising muscles, delayed onset of inspiratory muscle fatigue, reduction in symptoms of dyspnoea and improved right ventricular function.

Studies that have examined the use of AOT in non-LTOT users can be divided into those which have assessed the acute impact of AOT on exercise capacity during a single assessment, those studying the potential benefits of AOT during an exercise training programme, and those that have examined the potential longer term benefits of AOT on activity levels and quality of life.

Use of AOT during exercise

A Cochrane review of single assessment cross-over studies on the short-term impact of AOT versus placebo air on exercise capacity in moderate to severe COPD patients, reported that AOT significantly improved all outcomes of endurance exercise capacity (distance, time, number of steps) and that maximal exercise work rate also increased.⁸² Benefits in terms of reduced breathlessness, levels of oxygenation and minute ventilation at the time that the placebo test ended were also reported. However, the clinical significance of the size of improvement seen in these single assessment studies is unclear.⁸³

The addition of supplemental oxygen during exercise training may allow patients who normally desaturate on exercise to tolerate higher levels of activity and therefore gain more from training. A meta-analysis included three RCTs examining the use of AOT during exercise training in COPD patients using comparable outcome measures.⁸⁴ Although there were significant improvements in two parameters (constant power exercise time and constant power exercise end-of-test Borg score), there was no beneficial effect from oxygen-supplemented training in a number of other parameters including maximal exercise outcomes, functional exercise outcomes (6MWT), shuttle walk distance, health-related quality of life and oxygenation status. A recent single-blinded RCT examined use of AOT in a pulmonary rehabilitation programme in 51 'oxygen responders' who were selected based on whether they had >10% improvement in exercise capacity when using AOT at baseline.⁸⁵ Significant improvements in walking distance as measured by an endurance shuttle walking test pre- and post-course (490 m, 95% CI 228 to 750; $p \leq 0.001$) were shown for those who had used AOT during pulmonary rehabilitation. This area has also been reviewed in the BTS Guideline on Pulmonary Rehabilitation in Adults 2013.⁸⁶

In a study of CF patients with advanced lung disease and normal resting oxygen saturations, patients could exercise for longer periods using supplemental oxygen during graded exercise tests.⁸⁷ In a Cochrane review of AOT in CF, six studies evaluated oxygen supplementation during exercise. Oxygenation improved, but mild hypercapnia resulted and participants receiving oxygen therapy were able to exercise for a significantly

longer duration.²⁴ Evidence for an effect of AOT on daily activity is lacking, but in clinical practice use of AOT to support exercise, physiotherapy and activities of daily living in patients with CF is commonplace.

Long-term impact of AOT

There are limited data on whether the symptomatic benefits outweigh the practical difficulties associated with using AOT in everyday life.

A large parallel double-blinded 12-week RCT randomised 143 COPD patients to use of AOT versus a control group using compressed air.⁸⁸ There were no significant improvements in the AOT group in terms of dyspnoea, quality of life or functional capacity, although only 50 patients were shown to desaturate (defined in this case as SpO₂ <88%). Average cylinder usage in both groups was low at just 40 min/day, and 46% of the AOT group reported they would prefer to cease using oxygen therapy altogether at the end of the study. A number of smaller studies (ie, n=20–45 patients) have examined the short-term benefits of AOT in either crossover or parallel blinded studies, lasting between 6 and 10 weeks.^{89–93} Modest statistically significant improvements were seen in exercise capacity⁹³ and in health-related quality of life.⁸⁹ However, the majority failed to show any sustained benefit from AOT in a number of variables, including dyspnoea, exercise capacity, St. George's Respiratory Questionnaire (SGRQ), health-related quality of life, activity levels, distance walked or time away from home.

AOT in patients eligible for LTOT

AOT is often ordered for LTOT patients, or those who require oxygen 24 h per day, to allow those who regularly mobilise outdoors to leave the house and maintain their oxygen saturations within desired levels. Conversely for patients who require LTOT or are dependent on oxygen 24 h per day, but are not able to mobilise outdoors, AOT may assist them to leave the house on an occasional basis, for example for hospital appointments.

Re-analysis of data from the NOTT study^{4 94} showed that in LTOT patients, AOT increased the chances of patients achieving the 15 oxygen hours per day threshold, which has been shown to confer survival benefits, a finding supported by other studies.⁹⁵ However, AOT may prove burdensome for LTOT patients and not improve quality of life or exercise capacity. A 1-year double-blinded crossover trial in 24 LTOT patients allocated them to one of: standard therapy of LTOT via an oxygen concentrator only, standard therapy plus AOT, or standard therapy plus ambulatory compressed air. Use of AOT did not improve any of the primary outcome measures, including quality of life, exercise tolerance or daily duration of oxygen use, and the trial was stopped prematurely after an interim analysis.⁹⁶ However, patients were expected to collect their oxygen cylinders from the hospital themselves, which is likely to have had an impact on usage.

Some patients find the weight of standard cylinders prohibits use, and so lightweight cylinders may be considered. Use of lightweight cylinders for AOT in comparison with 'normal' weight cylinders had no impact on the hours of use or on activity levels, both of which were low at randomisation and throughout the study in a 6-month unblinded RCT of 17 LTOT patients.⁹⁷ Poor compliance with AOT may result from lack of information provision, perceived unreliability of the delivery system, system weight, self-consciousness in public, and carer issues surrounding managing and using AOT equipment.⁹⁸

Assessment for AOT

Oxygen saturation (SpO₂) measured from a finger probe or the earlobe, is frequently used in clinical practice during exercise to assess patients and their response to AOT. When oximetry in 20 COPD patients performing 6MWTs with AOT both non-invasively using ear-oximetry (SpO₂) and invasively using CO-oximetry (SaO₂) was compared,⁹⁷ flow rates were incrementally increased until both SaO₂ and SpO₂ were >90%. Significant differences were noted between SpO₂ and SaO₂ readings, which would potentially have led to different flow rates being ordered in 50% of subjects. For patients with a high respiratory rate, for example those with CF or ILD, assessment using Venturi oxygen at a flow rate sufficient to exceed the patient's peak tidal (and exertional) inspiratory flow can offer advantages over oxygen therapy delivered by nasal cannulae. If total gas flow exceeds the patient's inspiratory flow rate, a Venturi mask will deliver an accurate oxygen concentration which may decrease the work of breathing and facilitate CO₂ control.⁹⁹ See the section on equipment for information on oxygen conservers.

Evidence statements

- ▶ AOT has been shown to improve survival in patients on LTOT by helping them to achieve 15 h per day usage. **Evidence level 1++**
- ▶ AOT acutely increases exercise capacity in laboratory-based exercise tests in patients who are not eligible for LTOT but who desaturate during exercise. **Evidence level 1+**
- ▶ Long-term use of AOT has not been shown to confer any sustained benefits in dyspnoea, exercise capacity, functional capacity, time away from home or quality of life in patients who are not eligible for LTOT. **Evidence level 1+**
- ▶ AOT has not been shown to improve quality of life, exercise tolerance or oxygen usage in patients on LTOT. **Evidence level 1–**
- ▶ AOT leads to improvement in walking distance when given in a pulmonary rehabilitation programme setting to patients who have demonstrated a >10% improvement in exercise capacity when using AOT at baseline assessment. **Evidence level 1–**

Recommendations

- ▶ AOT should not be routinely offered to patients who are not eligible for LTOT. (Grade B)
- ▶ AOT should not be routinely offered to patients already on LTOT. (Grade D)
- ▶ AOT assessment should only be offered to patients already on LTOT if they are mobile outdoors. (Grade A)
- ▶ AOT should be offered to patients for use during exercise in a pulmonary rehabilitation programme or during an exercise programme following a formal assessment demonstrating improvement in exercise endurance. (Grade B)

Good practice points

- ▶ Patients started on AOT should be reviewed regularly. If AOT was started during an exacerbation or when unwell, an initial review at 4–6 weeks to check it is still indicated is essential. (√)
- ▶ Home visits may be useful to identify problems with equipment or set-up. Further reviews should be carried out every 6 months when stable, or sooner if the patient's clinical status changes. (√)
- ▶ AOT therapy may offer patients with active lifestyles or active treatment regimens (eg, CF) additional benefits. All patients should be assessed for AOT in the context of their daily activity and therapies. (√)
- ▶ It is recognised that there may be some patients, for example with ILD and disabling breathlessness, who do not qualify

for LTOT but who do desaturate on exercise, who may benefit from AOT. Once all other medical interventions have been optimised, these patients could be considered for AOT following formal assessment and AOT use could continue following demonstration of benefit and compliance. (√)

- ▶ Patients with high respiratory rates (common in CF and ILD) should receive AOT at a flow rate via a Venturi mask, which exceeds their peak tidal and exertional inspiratory flow, and be supplied with home oxygen equipment which is able to deliver the required high flow rates. (√)
- ▶ AOT may be offered to LTOT patients who could otherwise not achieve 15 h per day oxygen usage, or who are severely hypoxaemic and are too symptomatic to leave their house without supplemental oxygen but may need to do so, for example to attend GP or hospital appointments. Formal assessment is not required in these circumstances. (√)

For suggested patient selection criteria and an AOT assessment procedure for AOT during pulmonary rehabilitation, see appendix 1.

PALLIATIVE OXYGEN THERAPY

The term ‘palliative oxygen therapy’ (POT) refers to the use of oxygen to relieve the sensation of refractory persistent breathlessness in advanced disease or life-limiting illness irrespective of underlying pathology where all reversible causes have been or are being treated optimally.

Dyspnoea is common in patients with advanced life-limiting illness of all types. Breathlessness is a subjective sensation which arises from a complex interaction of physiological and psychological stimuli and processing.¹⁰⁰ A number of small studies have demonstrated the benefit of non-pharmacological techniques such as breathing control/pacing, acupuncture^{101 102} or a hand-held fan,¹⁰³ while the evidence supporting pharmacological management, principally opioids, is well established.¹⁰⁴

This section discusses the role of home oxygen in the management of intractable breathlessness in patients with advanced cancer or end-stage cardiorespiratory disease. The evidence reviewed dates from subsequent studies since the publication of a report of the Expert Working Group of the Scientific Committee of the Association of Palliative Medicine on the use of oxygen in the palliation of breathlessness in 2004.¹⁰⁵ However, as most participants in studies had an Eastern Cooperative Oncology Group (ECOG) performance status of 2 or 3, this population might not be representative of the sickest patients in palliative care.

Effects of POT in comparison with air

The studies reviewed excluded patients with cognitive impairment^{106 107} and those with a prognosis of <1 month.¹⁰⁷ Oxygen was delivered by nasal cannula or mask, and flow rate varied from 2 to 5 L/min. Studies also varied regarding duration of oxygen therapy, ranging from 15 min¹⁰⁶ and 60 min¹⁰⁸ to 15 h.¹⁰⁷ Oxygen was delivered at rest in two studies,^{106 109} on exertion in one¹⁰⁸ and continuously for 15 h in another study.¹⁰⁷ No studies looked at life expectancy, but one study reported quality of life.¹⁰⁷

A double-blind crossover study randomised 51 patients with advanced cancer, 17 of whom were hypoxaemic (SpO₂ <90%) to 15 min of either air or palliative oxygen.¹⁰⁶ Patients (whether hypoxaemic at baseline or not) improved symptomatically with both air and oxygen, but there were no significant differences between the treatments. A systematic review and meta-analysis of the efficacy of palliative oxygen for relief of dyspnoea in

hypoxaemic (mean SpO₂ 88%) or non-hypoxaemic cancer patients included 134 patients.¹⁰⁸ Although palliative oxygen was administered in a variety of ways (nasal cannula or mask; rest or 6MWT; flow rate 3–5 L/min), there was no improvement in dyspnoea. A double-blind RCT compared air with palliative oxygen (2 L/min for 15 h per day for 7 days from a concentrator) in 239 patients with cancer or end-stage cardiorespiratory disease.¹⁰⁷ There was no statistically significant difference between the two groups in breathlessness (measured twice daily), frequency of side effects, or change in quality of life between groups. Finally, a cohort study failed to demonstrate any symptomatic benefit over 2 weeks of the provision of home palliative oxygen as measured by routine recording of breathlessness with each clinical encounter with a specialist community palliative care team.¹¹⁰

Effects of POT in comparison with other therapies such as opiates, fan therapy and cognitive behaviour therapy

There are no reported studies comparing POT with fan therapy, cognitive behavioural therapy or other techniques for symptomatic relief of breathlessness.

One study assessed the effects of oxygen and opioid treatment on ventilation and palliation of dyspnoea in hypoxaemic (SpO₂ <90%) and non-hypoxaemic (SpO₂ ≥90%) palliative care patients (either opioid-naïve or pre-treated with strong opioids) in a prospective non-randomised study.¹⁰⁹ Whereas opioid administration resulted in a significant decrease in the intensity of dyspnoea in hypoxaemic or in non-hypoxaemic patients, nasal oxygen therapy did not. There was no significant correlation between intensity of dyspnoea and SpO₂, and no significant difference between hypoxaemic or non-hypoxaemic patients with regard to transcutaneous CO₂ increase or SpO₂ decrease after administration of opioids.

Evidence statements

- ▶ Measurements of oxygenation do not correlate well with the subjective experience of dyspnoea in patients with cancer or end-stage cardiorespiratory disease. **Evidence level 2+**
- ▶ Hypoxaemic patients do not experience a significant difference in symptoms between air and POT despite having improved oxygen saturations when administered oxygen. **Evidence level 2+**
- ▶ Non-hypoxaemic patients or those with mild levels of hypoxaemia who would not normally qualify for LTOT do not experience symptomatic benefit with POT compared with air. **Evidence level 1++**
- ▶ Opioids are significantly better than POT in reducing the intensity of dyspnoea in non-hypoxaemic or hypoxaemic patients. **Evidence level 1+**

Recommendations

- ▶ Patients with cancer or end-stage cardiorespiratory disease who are experiencing intractable breathlessness should not receive treatment with POT if they are non-hypoxaemic or have mild levels of hypoxaemia above current LTOT thresholds (SpO₂ ≥92%). (Grade A)
- ▶ Patients with cancer or end-stage cardiorespiratory disease who are experiencing intractable breathlessness should receive assessment for a trial of treatment with opiates from an appropriately trained healthcare professional. (Grade A)
- ▶ Patients with cancer or end-stage cardiorespiratory disease who are experiencing intractable breathlessness should receive assessment for a trial of treatment with non-pharmacological treatments including fan therapy, from an appropriately trained healthcare professional. (Grade D)

Good practice point

- ▶ POT may on occasion be considered by specialist teams for patients with intractable breathlessness unresponsive to all other modalities of treatment. In those instances, individual formal assessment of the effect of palliative oxygen on reducing breathlessness and improving quality of life should be made. (√)

For suggested patient selection criteria and a protocol for POT assessment, see appendix 3.

SHORT BURST OXYGEN THERAPY

SBOT is typically given to patients for the relief of breathlessness not relieved by any other treatments. It is used intermittently at home for short periods, for example 10–20 min at a time. Oxygen used in this way has traditionally been ordered for non-hypoxaemic patients and used for subjective relief of dyspnoea prior to exercise for oxygenation or after exercise for relief of dyspnoea and recovery from exertion.

Use of SBOT in respiratory disease

The studies reviewed were limited to patients with COPD and included normoxic and hypoxaemic patients with moderate to severe disease. Two studies examined oxygen delivery before and after exercise, while four studies administered oxygen after exercise. One study that examined the benefit of oxygen after exercise included patients given LTOT,¹¹¹ however hypoxaemic and non-hypoxaemic patients were not analysed separately. There were no studies that specifically examined the benefits of SBOT ordered for hypoxaemic patients alone.

In order to examine the effect of supplemental oxygen before and after exercise in stable COPD patients with moderate to severe disease who demonstrated exercise desaturation, Nandi *et al*¹¹² undertook two double-blind randomised studies. In the first study, 34 subjects received either cylinder air or oxygen 28% at a flow rate of 4 L/min for 10 min before a 6MWT. In the second study, 18 subjects received either cylinder air or cylinder oxygen for 5 min immediately after a 6MWT. Those that took part in both studies did so on different days. Distance walked, oxygen saturations and breathlessness as measured by a VAS were recorded as was time to recovery. No difference was found in distance walked, subjective breathlessness or recovery time when oxygen was administered prior to exercise. Nor was there any significant difference in distance walked, recovery time or breathlessness when oxygen was administered following exercise. The authors concluded that no recommendation could be made to support a useful therapeutic role for SBOT.

Similarly, SBOT was not found to have any effect on performance when administered before and after exercise in 22 non-hypoxaemic COPD patients with moderate to severe disease.¹¹³ Subjects undertook four 6MWTs at each of two sessions. Cylinder air or oxygen was randomly administered prior to the first two walk tests and during recovery following the final two tests. The group found no significant difference in distance walked or breathlessness as measured by the Borg score for air and oxygen given prior to exercise and no significant difference in mean time to resting Borg score when oxygen was given after exercise.

Another study compared oxygen, air, fan and no treatment in 34 stable patients with moderate to severe COPD who were short of breath on minimal exertion and who were not hypoxaemic ($\text{SaO}_2 \leq 93\%$ at rest).¹¹⁴ Patients undertook an exercise step test on four occasions and after each test were given either oxygen 4 L/min from a face mask, air from a face mask, air

from a fan, or no intervention. Fourteen patients desaturated on exercise below 90%. Oxygen therapy had no significant effect on Borg scores even for those patients who desaturated. Oxygen saturation rose more quickly and to a higher level when the oxygen mask was used compared with other treatments ($p < 0.009$), but this increase of 2% had no effect on subjective breathlessness as measured by the Borg score.

Patients were asked to choose whether they received treatment before or after exercise in a study that examined 22 stable COPD patients with moderate to severe disease.¹¹¹ Subjects were studied at home undertaking an activity of choice (mean resting SaO_2 93.1% (range 82–98%)). All had domiciliary oxygen ordered for them and 50% were on LTOT. In this double-blind study, cylinder air or oxygen was randomly administered after exercise. Interestingly, all subjects chose after exercise. The exercise was repeated after a rest period and the alternative treatment administered. There was no difference in recovery times with oxygen compared with air. Five patients were able to correctly identify oxygen from air on both occasions. This group had shorter subjective and objective recovery times when compared with the rest of the group, although this did not reach statistical significance.

A reduced recovery time as measured by a VAS was associated with oxygen use compared with compressed air or placebo in a study of 19 subjects with stable severe COPD (mean (SD) PaO_2 8.05 (1.52) kPa).¹¹⁵ Subjects undertook three step tests to maximal dyspnoea and then were administered either cylinder oxygen 67% via a mask, cylinder air at the same flow for 20 min, or no mask in random order. The results were not found to be reproducible when the seven responders were re-tested after a time lapse of between 1 week and 1 month.

In a study undertaken by Stevenson and Calverley, 18 stable COPD patients were included, none of whom were hypoxaemic at rest, although six patients did desaturate on exercise (range 88–96%).¹¹⁶ Patients attended on two occasions at least 1 week apart. At each visit, patients performed a maximal cardiorespiratory exercise test following which they randomly received either air or oxygen (FiO_2 0.4) in a single-blind crossover fashion. At one visit the subject remained instrumented during recovery, while at the other visit the mouthpiece and nose clips were replaced with a Venturi mask at a flow rate of 10 L/min. The results revealed that following exercise, administration of oxygen when compared with compressed air was associated with a reduced ventilatory effort and dynamic hyperinflation resolution was shorter; however, there was no reduction of breathlessness as measured by the Borg score at any time during recovery between oxygen and air inhalation, nor did oxygen influence the rate at which symptoms were resolved. The authors concluded that the routine use of oxygen to aid recovery of symptoms after exercise does not appear to be justified.

Healthcare utilisation and quality of life were measured in a randomised double-blind placebo-controlled trial in patients with moderate to severe disease following an admission to hospital with an exacerbation of COPD.¹¹⁷ A total of 78 non-hypoxaemic patients were recruited and were randomised to cylinder air, cylinder oxygen or usual care for 6 months following discharge from hospital. The subjects who were randomised to cylinder air or cylinder oxygen were instructed to use it at 2 L/min via nasal cannulae as needed for relief of distressing or limiting breathlessness. Cylinder use was self-recorded in patient diaries. Healthcare utilisation was assessed by number of COPD-related readmissions and unscheduled emergency department or primary care visits. Quality of life was measured using the Chronic Respiratory Disease Questionnaire (CRQ), the Hospital Anxiety and Depression Scale (HAD) and the Medical Outcomes Study Short

Form (SF-36). There was no significant difference between patient groups in any of the health-related quality of life measures apart from the emotions domain of the CRQ for the usual care group. Hospital readmission and healthcare utilisation were high. However, there was no significant difference between groups. Cylinder use was initially high but fell rapidly within weeks in both the cylinder air and oxygen groups. The authors conclude that these results do not offer any support for the use of SBOT on discharge from hospital following an acute exacerbation of COPD.

Evidence statements

- ▶ SBOT does not improve exercise tolerance or reduce breathlessness when administered either before or following exercise to hypoxaemic or non-hypoxaemic patients with moderate to severe COPD. Evidence level 1++
- ▶ SBOT does not improve health-related quality of life or reduce healthcare utilisation when ordered for patients following an acute exacerbation of COPD. Evidence level 1++

Recommendations

- ▶ SBOT should not be ordered for use prior to or following exercise in hypoxaemic or normoxic patients with COPD. (Grade A)
- ▶ SBOT should not be ordered on discharge from hospital for non-hypoxaemic patients with severe COPD. (Grade A)

Use of SBOT in CH

CH pain is the most severe of the primary headache syndromes. It is characterised by periodic attacks of strictly unilateral pain associated with ipsilateral cranial autonomic symptoms. The majority of patients have episodic cluster headache (ECH), with cluster periods that typically occur in a circannual rhythm, while 10% have the chronic form (CCH), with no significant remissions between cluster periods. High flow oxygen therapy is used to relieve pain and is delivered usually from static oxygen cylinders in the patient's home.

In a double-blind randomised, placebo-controlled cross-over trial, 109 adults were treated for CH attacks with either 100% oxygen (12 L/min) or inhaled air, given via a facial mask for 15 min at the start of an attack. Fifty-seven patients with ECH and 19 with CCH were available for the analysis.¹¹⁸ Oxygen was significantly superior to placebo in elimination of pain or provision of 'adequate pain relief' at 15 min in 78% of patients (vs 20% with air). In a case series of 52 randomly selected outpatients with either active ECH or CCH, 100% oxygen was administered through a facial mask at a rate of 7 L/min for 15 min at the onset of each of 10 cluster attacks.¹¹⁹ Overall, 75% of patients obtained significant relief (defined as complete or almost complete reduction of pain in seven of 10 attacks within 15 min) from cluster pain. These findings were supported by a double-blind crossover study of 19 patients where use of SBOT (6 L/min via non-rebreather face masks for 15 min) produced significantly higher average relief scores for all oxygen-treated patients.¹²⁰ A case report of three patients unresponsive to oxygen given at 7–10 L/min reported complete or near complete alleviation of headache after 100% oxygen at a rate of 14–15 L/min.¹²¹ Non-responders to SBOT have more often smoked in the past ($p=0.014$), had longer CH attacks ($p=0.049$), and reported more inter-ictal headache ($p=0.02$) than responders.¹²²

A single-blind crossover trial of 50 patients has compared sublingual ergotamine tartrate to SBOT (100% oxygen via a face mask, at a rate of 7 L/min for 15 min) for symptomatic relief of cluster attacks showed no statistical difference between treatment groups.¹¹⁹

NICE (National Institute of Health and Care Excellence) guidelines on the diagnosis and management of headaches in young people and adults, published in September 2012, recommend oxygen and/or a subcutaneous or nasal triptan for the acute treatment of CH.¹²³ It is recommended that oxygen should be given at a flow rate of at least 12 L/min with a non-rebreather mask and a reservoir bag arranged as home oxygen. (NICE refers to ambulatory oxygen in this context which differs from the definition of ambulatory oxygen used in this guideline).

Evidence statement

- ▶ SBOT delivering high flow oxygen (12 L/min via a non-rebreather mask) is an effective symptomatic treatment for acute CH attacks. Evidence level 1+

Recommendation

- ▶ SBOT delivering high flow oxygen therapy (12 L/min via a non-rebreather mask) should be offered to treat acute attacks of CH. (Grade A)

Good practice point

- ▶ Appropriate equipment will need to be provided in order to ensure delivery of high flow rate oxygen at 12 L/min for CH using a non-rebreather mask. Patients will usually have warning of a CH attack, and so provision should be made for urgent 4 h installation of home oxygen, if available, rather than a permanent home supply being provided. (✓)

EQUIPMENT FOR HOME OXYGEN THERAPY

The equipment for home oxygen therapy can be divided into three categories: oxygen source (concentrators, cylinders and liquid oxygen), oxygen delivery (cannulae, masks, conservers and tracheal devices) and supplementary equipment (humidifiers and equipment to carry oxygen). Please see online supplementary appendix 12 for illustrations and further details of equipment types.

Oxygen source: concentrators, cylinders and liquid oxygen – description and indications for use

Home oxygen can be delivered from cylinders, concentrators or as liquid oxygen. Each of these oxygen sources can be static or portable, and the source selected is dependent upon the mobility and clinical circumstances of the patient, along with the costs of installation and supply as determined by the oxygen provider. There are few published studies comparing the different modes of oxygen source in different clinical situations. Some studies have compared similar devices, but many of these are now outdated and technology has superseded them.

Concentrators

The most common device for LTOT delivery is an oxygen concentrator which can either be fixed in a room in the house or is portable to go with the patient around the home, outside the home and in the workplace. An oxygen concentrator is an electrically driven device which takes room air and passes it through a filtering system, removing nitrogen, to supply an oxygen-enriched gas mixture (usually 85–95% oxygen).

Performance of oxygen concentrators can vary depending on the technology used.^{124–130} The maximum oxygen concentration delivered by an oxygen concentrator is 96%,¹²⁴ but there can be a difference in performance between devices depending upon flow rate. In a study that assessed a number of oxygen concentrators, all concentrators were found to deliver sufficient oxygen to achieve target oxygen saturation levels above 92% at flow rates of 2 L/min, of 85–94% at 3 L/min and of 69–85% at 4 L/min depending upon the device.¹²⁶ This can result in

patients not receiving their oxygen as ordered.¹³¹ In another series of 2400 oxygen concentrator users, where the flow rate was 2 L/min or less in 79% of users, the mean±SD oxygen saturation achieved was 92±6%.¹²⁷ It is current clinical practice to use a combination of two oxygen concentrators joined via a T-piece to deliver high flow rates, for example 12 L/min when required, although there is no clinical trial evidence to support this practice and it is unknown whether the equipment used performs adequately in this way.

Home concentrators

Home concentrators will be installed and regularly maintained by oxygen provider companies. In order to reduce risk of falls from tripping over long lengths of tubing, they may be 'piped in' to the home with appropriate tubing to areas where the patient will use the oxygen (bedroom, living room). All concentrators should have fire breaks inserted into the tubing—one at the patient end and one at the machine end—to reduce the risk of potentially catastrophic fires (see the section on safety and home oxygen). Oxygen concentrators can deliver flow rates of up to 4 L/min, adjustable in 0.5 L/min increments. Where low flow is needed, for example in paediatric, NIV use and oxygen-sensitive patients, flow metres that reduce flow can be added to the standard concentrator. High flow oxygen concentrators can deliver flow rates of 8 L/min. For very high flows, concentrators can be joined via a T-piece and each concentrator must be set to the same flow, for example 12 L/min required would need two high flow concentrators both set at 6 L/min, although there is no research evidence to support their use in this way. This option may not be available and it is suggested that home oxygen teams check with their oxygen supplier. Concentrators are recommended for patients using oxygen for more than 1.4 h a day.¹³² Practical considerations for patients are the need to change filters weekly, regular servicing of the machine, the warm-up period of the machine and the noise of the device. A new development is a concentrator which can be used to refill small portable cylinders at home, known as a 'home fill' oxygen system.

Transportable and portable concentrators

Transportable concentrators are similar to home concentrators but smaller in size and more portable with a typical weight being 4.5–8.6 kg. They come with batteries as well as a mains attachment, allowing use outside as well as inside the home. (Inside the home, a transportable concentrator can be used as a standard concentrator as well as fulfilling the patient's ambulatory needs.) The battery for use outside the home does limit the time they can be used without recharging and will depend on the flow rate and whether the pulsed mode is used. They can be used and charged in cars. Most are now approved for use on commercial aircraft, although patients are currently advised not to take their supplied equipment out of the country as it will not be supported by the oxygen supplier in the event of a malfunction when abroad. Current models are available that deliver up to 3 L/min continuous oxygen and 6 L/min pulsed oxygen, and come with a power adapter to plug into an electrical source, or a battery back-up.

Portable concentrators are somewhat lighter than transportable concentrators, with a typical weight being 3.3–4.5 kg. The majority of portable oxygen concentrators provide pulsed oxygen only. Therefore, they are not suitable for use when sleeping. It should be noted that some portable concentrators have numerical settings, for example number 2 does not equate to 2 L/min, and some do not alarm when they malfunction.

Cylinder oxygen

A cylinder is a strengthened metal container containing compressed gas held under high pressure safely for use via its regulator (tap). Oxygen cylinders come in a range of sizes and hence capacity, ranging from small portable cylinders to large static cylinders (see online supplementary appendix 12), and are colour coded to distinguish them from other medical gases. Currently, oxygen cylinders are white with writing denoting the content down the side, and black with white shoulder: all medical oxygen cylinders will be white bodied by 2025. The flow rate can be fixed or variable depending on patient requirements. All systems containing compressed gases in the UK are subject to the Pressure Systems Safety Regulations 2000 (SI 2000 No 128), which are intended to prevent the risk of injury from pressurised systems.

Historically, static cylinders have usually been used to deliver short burst or palliative oxygen in the home but now find their main use as back-up cylinders if there is a power cut or concentrator failure or in the treatment of CH patients. Lightweight cylinders (example weight 8 kg/3.6 lb) and standard ambulatory cylinders (example weight 3.2 kg/7 lb) are available for ambulatory use.

Liquid oxygen

Liquid oxygen is oxygen that is cooled so that it condenses from a gas to a liquid which can be stored in insulated containers. Liquid oxygen is generally stored in large Dewar flasks with a decanting system to deliver it to smaller portable Dewar flasks. The length of time these can last will depend on the flow provided and the size of the Dewar flask. Users need to be trained to connect the two containers to reduce problems of gas leakage and also to prevent users receiving cold burns through inappropriate handling of the device. Choices between these devices should take account of individual patient's dexterity, visual acuity and strength. Liquid oxygen Dewar flasks can only be installed on a ground floor due to venting and safety considerations.

Comparison of different oxygen sources in clinical trials

The majority of clinical trials in this area focus on delivery of portable oxygen either to facilitate use of ambulatory oxygen or to use as a method of delivery of LTOT with home oxygen concentrators. There are six methods of delivering portable oxygen: liquid, home fill cylinder, portable cylinder, lightweight cylinder, portable and transportable oxygen concentrator.

Use of portable oxygen to deliver ambulatory oxygen

Several small RCTs have compared different modalities of portable oxygen^{97 133 134} in short-term or exercise test-based studies. Comparison of standard portable cylinders with lightweight cylinders⁹⁷ and safe-fill portable cylinders¹³³ showed no difference in activity levels (which were low), oxygen saturation, Borg score or 6MWT between the different modalities. In a comparison of four different methods of supplying portable oxygen (liquid, home fill cylinder, portable concentrator and lightweight cylinder) in 44 patients with stable severe COPD, there were no differences between oxygen saturation, distance walked or time used.¹³⁴ Cylinder oxygen was least favoured by patients and liquid oxygen was most favoured with the lowest long-term costs. Despite a lack of improvement in quality of life in this study, the patients using liquid oxygen in comparison with portable cylinders spent significantly longer outside the house and used their oxygen more.¹³⁵ In another study of

patients with severe COPD comparing continuous flow liquid oxygen with a portable concentrator, there was no significant difference in use or level of oxygenation. The flow rate of oxygen needed on ambulation was an average of three times higher than at rest.¹³⁶

Use of portable oxygen to deliver LTOT

Small RCTs and an observational study have examined the use of portable oxygen in contributing to the delivery of LTOT. Portable devices compared with home-based LTOT alone improved oxygen usage.⁹⁵ Use of liquid oxygen with or instead of a concentrator can increase daily use of oxygen^{137–139} and improve quality of life,¹³⁷ but overall costs can be higher.^{137 138}

Static cylinder use

A survey of patients using static oxygen cylinders at home found that most had a diagnosis of COPD and used oxygen regularly for short-term relief of breathlessness, with 58% using their oxygen at least once a day. On average these patients used three cylinders each per month.¹⁴⁰

Evidence statements

- ▶ Portable oxygen provides greater oxygen daily usage and improved quality of life than static concentrators alone. **Evidence level 1–**
- ▶ There is no conclusive difference in activity levels or utilisation between different methods of portable oxygen, but patient preference is generally for liquid oxygen. **Evidence level 1–**
- ▶ Lightweight cylinders do not improve walking distance or oxygen utilisation and may lead to increased costs. **Evidence level 1–**
- ▶ Oxygen concentrators are the most cost-effective way to deliver LTOT, but can have variable efficiency depending on flow rates, particularly above 4 L/min. **Evidence level 2++**

Recommendations

- ▶ Oxygen concentrators should be used to deliver LTOT at flow rates of 4 L/min or less. (Grade B)
- ▶ Portable oxygen should be delivered by whatever mode is best suited to the individual needs of the patient to increase the daily amount of oxygen used and activity levels in mobile patients. (Grade C)

Good practice point

- ▶ The type of portable device selected should balance patient factors with cost effectiveness, resources and safety. (✓)

Oxygen delivery: nasal cannulae and masks, oxygen-conserving devices and trans-tracheal devices—description and indications for use

Methods of home oxygen delivery depend upon the patient's requirements and the setting for delivery of care. Interfaces used for home oxygen fall into two main categories: nasal cannulae and face masks using the Venturi system. Trans-tracheal delivery is rarely used but will be briefly described. In addition, oxygen-conserving devices may be used to facilitate oxygen delivery. Most home oxygen tubing has a 'fire break' inserted at the patient end of the tubing just before the nasal cannula or mask: this is a thermal fuse which when triggered will stop the oxygen supply in the event of fire.

Nasal cannulae and masks

Nasal cannulae are the most common interface for oxygen delivery. This is largely the result of a compromise between patient comfort and tolerance when using oxygen for 15 h/day

and the need for controlled oxygen concentration delivery. Nasal cannulae are usually lightweight, soft plastic/silicone tipped tubing that are dual-pronged and sit in the nostrils, held in position by looping the tubing over the pinna of the ears, and allow oxygen delivery continuously into the nose. The nasal cannula delivers a low flow of oxygen entrained in a larger volume of atmospheric air so that each litre per minute of oxygen flow adds about 3–4% to the inspired oxygen concentration. The respiratory rate as well as underlying disease process will determine the actual oxygen delivery. However, a small non-randomised trial showed that oxygen delivery with nasal cannulae can be very variable, with individual inspired oxygen concentrations varying between 24% and 35% with the same flow rate of 2 L/min.¹⁴¹ High flow nasal cannulae are used in critically unwell patients and not appropriate to the home oxygen population.

Oxygen masks are minimal volume, made of clear, soft plastic and held over the nose and mouth with elasticated straps for comfort. Venturi masks are designed to deliver accurate concentrations of oxygen when used with certain flow rates. They are favoured for delivery of controlled oxygen concentrations where this is clinically important, such as in patients with hypercapnic respiratory failure requiring LTOT. Other patient factors may be relevant such as confused or demented patients where flows might be altered in error.

Other interfaces such as the OxyArm have been developed allowing minimal head contact but no facial contact, and the potential for use in both nose and mouth breathers, and in patients with high respiratory rates. When used in stable COPD patients requiring LTOT over a 4-week period, nasal cannulae and OxyArm gave similar oxygen delivery, but fewer patients preferred the OxyArm due to dislodgement and reduced mobility.¹⁴²

Oxygen-conserving devices

Oxygen-conserving devices deliver oxygen during inspiration only and, by reducing oxygen wasted during expiration, enable cylinders to last longer compared to constant flow. This can reduce costs by reducing the number of home deliveries. Most oxygen delivery systems now have conservers fitted as standard. Each model of conserver will have very different specifications chosen by the manufacturers to suit the device and are not able to be changed by the users. This high degree of variability means that they are not truly comparable from one make or model to another. Reservoir cannulae are a form of oxygen-conserving device but are rarely used in home oxygen services; information about them can be found in the BTS Emergency Oxygen guidelines. Historical studies performed prior to conservers becoming standard equipment have not been reviewed.

Most studies have agreed that conservers can reduce oxygen usage by as much as 50%.^{126 143 144} The demand oxygen delivery system produced only a small increase in walk distance without elevation of oxygen saturation, but was inferior to continuous flow oxygen in most of the measured variables when compared directly.¹⁴⁵ However, it has been suggested that oxygen-conserving devices vary in their ability to maintain SaO₂ levels during exercise^{146 147} and that some patients (particularly those who mouth breathe) may struggle to trigger them, and therefore patients should have ambulatory assessments before being issued with them. The evidence for the use of nocturnal oxygenation using a pulsed-dose oxygen-conserving device compared to continuous flow is limited.¹⁴⁸ Continuous oxygen was compared with pulsed oxygen delivery at two different settings and showed no clinical difference. The evidence for the use of

pulse dose conservers at night is at best very poor and requires more research.

Trans-tracheal oxygen

This form of oxygen can be used but rarely in the home setting and requires dedicated support from a trained team. Oxygen is delivered via a catheter inserted percutaneously between the second and third tracheal rings. By reducing anatomical dead space, it allows lower levels of oxygen to be required than nasal cannulae, and reduces the work of breathing. Serious complications can include catheter displacement, obstruction of the catheter by mucous, and infection.

Evidence statements

- ▶ Nasal cannulae can be used to deliver home oxygen at low flow rates and are acceptable to patients. **Evidence level 4**
- ▶ Nasal cannulae provide variable inspired concentrations of oxygen when used at the same flow rate in different patients. **Evidence level 4**
- ▶ Oxygen-conserving devices reduce total oxygen usage. **Evidence level 1+**
- ▶ Oxygen-conserving devices vary in their ability to maintain SaO₂ levels during exercise, and some patients struggle to trigger them. **Evidence level 1+**

Recommendations

- ▶ Nasal cannulae should be considered as the first choice of delivery device for patients requiring home oxygen therapy. As an alternative, some patients may benefit from or prefer a Venturi mask system. (Grade D)
- ▶ Oxygen-conserving devices can be used in home oxygen patients requiring high flow rates to increase the time the cylinder will last. (Grade B)

Good practice points

- ▶ Venturi masks should be considered in patients in whom there are concerns about existing or developing hypercapnic respiratory failure, those with a high resting respiratory rate or those with cognitive problems. (✓)
- ▶ Oxygen-conserving devices should be considered in patients who are active outside the home, following an ambulatory oxygen assessment. (✓)

Other equipment: trolleys and backpacks, humidifiers—description and indications for use

Patient compliance with treatment is greatly improved with supplementary equipment which may help address practical issues around home oxygen provision.

Humidification

Oxygen is sometimes humidified in an attempt to prevent a drying effect of oxygen if delivered at high flow rates or in patients with excessive chest secretions such as those with CF or bronchiectasis. Systems are available for the humidification of supplemental oxygen by bubbling oxygen through sterile water. Whereas nebulised saline given in single doses can help airways clearance in the presence of thick secretions, there is no evidence to support the use of continuous humidification and the effect on patient comfort is negligible.^{147 148} Some studies conclude the risks of infection contraindicate its use.^{149 150} For patients with a tracheostomy tube, natural mechanisms to warm and moisturise inspired gases have been bypassed. It is therefore essential to humidify any supplemental oxygen being delivered to the tracheostomised patient to help maintain a patent tracheostomy tube, reduce the build-up of secretions within the inner

tube or the tracheostomy itself, and minimise any subjective discomfort that the patient may experience. However, there are no trial data to evaluate this approach. More detail about the use of oxygen in tracheostomy patients is given in the BTS emergency oxygen guideline.²

Evidence statement

- ▶ There is no evidence of patient benefit from use of humidified oxygen. **Evidence level 3**

Recommendation

- ▶ Humidification of home oxygen should not be ordered for non-tracheostomy patients. (Grade D)

Good practice point

- ▶ Patients receiving oxygen via a tracheostomy should receive humidified oxygen. (✓)

Carrying home oxygen: trolleys and backpacks

Patients can benefit from the provision of trolleys, wheeled carts or backpacks to enable them to carry home oxygen equipment. This may be necessary because of the weight of the equipment when carried or to provide greater convenience. Less able patients find trolleys and wheeled carts easier to use than backpacks.^{149 150} Studies have shown that their use can improve patient quality of life, distance walked and symptoms during exercise in patients who are habitually mobile.

Evidence statement

- ▶ Trolleys or wheeled devices to enable patients to carry home oxygen can improve patient quality of life, distance walked and symptoms during exercise in patients who can walk more than 300 m. **Evidence level 1+**

Recommendation

- ▶ Less able patients should be offered wheeled devices or backpacks if assessment shows they improve ambulation and quality of life. (Grade B)

Good practice point

- ▶ When being transported in cars, cylinders should be secured either with a seat belt, or in the foot-well or car boot, possibly using a cylinder box. Liquid oxygen should always be transported in an upright position. A warning triangle may be displayed and insurance companies should be informed. (✓)

SAFETY AND HOME OXYGEN THERAPY

Smoking and home oxygen therapy

There is increasing recognition of the significant risks of fire and personal injury associated with smoking and the use of home oxygen therapy. LTOT patients can be enabled to achieve smoking cessation,⁵² but despite these necessary interventions, many patients with respiratory disease, and especially COPD, continue to smoke. In addition, the clinician's assessment of smoking status relies mainly on patients' testimony and evidence has shown that this can be inaccurate.⁶¹ There have been no high quality trials to enable an objective assessment of the risks and benefits of the use of home oxygen in those who continue to smoke. However, there is emerging evidence from case reports of the risks of continued smoking and oxygen use. A study in four American states from 2000 to 2007 documented 38 fatalities associated with smoking and oxygen therapy, and 16 non-fatal injuries reported which included harm to two fire-fighters and one policeman.¹⁵¹ Of the fatalities, 34 (89%) were using LTOT and smoking at the time the fire began, three were household members of smokers receiving LTOT, and one was a non-smoker with LTOT who was unintentionally ignited by a family member who was smoking. Two retrospective case series of patients admitted to burns units reported

harm caused by smoking while using home oxygen.^{152 153} Of 27 patients over a 7-year period who were identified with burns directly attributed to home oxygen use, 24 were smoking while using oxygen, two were lighting pilot lights, and one was lighting his wife's cigarette.¹⁵² Of 21 patients in a 12-year period who experienced partial thickness burn injuries, 57% sustained inhalation injury, five (22%) required intubation and mechanical ventilation, and two died during hospitalisation.¹⁵³ In addition, 86 home oxygen-related burn injuries were documented in a retrospective study designed to compare the outcome characteristics of patients admitted to a burns unit who had been intubated compared with those who had not been intubated.¹⁵⁴ Lighting a cigarette was the cause of the majority of injuries (87%), while exposure to other naked flame sources accounted for others (lighting a cooker 5%, electrical spark 5%, candles 2%, and other open flames 1%). There are an increasing number of anecdotal reports of e-cigarettes and chargers causing fire-related incidents if used in the vicinity of home oxygen.

No studies were identified that examined improvements in safety if smoking status in home oxygen patients was monitored either by urinary cotinine measurements or CO (carbon monoxide) monitoring compared to no monitoring.

Role of risk assessments

Recognition of the danger of fire and personal injury caused by smoking and home oxygen use has led to pragmatic approaches to individual assessment of risks on a case-by-case basis. A risk assessment may be conducted by the home oxygen assessment service and the fire and rescue service according to local protocols. Home oxygen suppliers carry out a formal risk assessment twice under the current UK National Framework Agreement: once at the time of taking the order and a field-based assessment at the patient's location when the order is delivered. Further risk assessment should then take place every 6 months thereafter. See appendix 4 for examples of risk assessment tools.

Some home oxygen services have adopted the practice of asking patients to sign a disclaimer acknowledging the risks of behaviours such as smoking near home oxygen.

Responsibilities of the oxygen supplier

Certain responsibilities around risk assessment are outlined in the National Framework Agreement for home oxygen services (December 2000, transitioned to NHS England 2013), which outlines the contractual obligations of home oxygen supply companies in England and Wales. In Scotland, a national home oxygen service was established by Health Facilities Scotland in 2012, and the single contracted supplier is also obliged to carry out a similar risk assessment prior to oxygen installation.

These risk assessment obligations for home oxygen suppliers in England are:

- ▶ A desk risk assessment should be conducted upon receipt of a home oxygen order to ensure that the oxygen equipment ordered matches the requirements and the equipment can be delivered safely.
- ▶ The supplier shall ensure that a field-based risk assessment is carried out at the time of installation to verify whether the requirement of the home oxygen order form (HOOF) can be supplied safely and in accordance with the requirements. See appendix 5 for details of HOOF forms.
- ▶ A field-based risk assessment must be conducted in each patient's primary and/or secondary location every 6 months after the initial field-based risk assessment to ensure that risk is monitored on an ongoing basis. The supplier shall file a copy of such field-based risk assessment and provide it to the

clinical commissioning group home oxygen service lead where risk has been identified

- ▶ The field-based risk assessment will identify potential fire-related risk in the patient's home. During this assessment, the supplier shall check for the presence of an operational smoke detector or alarm. In the event that a smoke detector or fire alarm is not present, the supplier shall inform the local fire authorities of this fact, together with any specific fire risks that have been identified.
- ▶ The concentrator and any cylinders are positioned with sufficient ventilation and at a safe distance from any naked flame, cooking or heating appliance.
- ▶ Oxygen equipment should be placed in a position where it will not cause an obstruction to patients or family members, especially those who may have mobility or sight impairment.
- ▶ A fixed installation should be considered to fix tubing and reduce trip hazard.
- ▶ A second concentrator may be necessary in larger properties or if patients have difficulty using the stairs.
- ▶ Verbal and written information should be given to the patient or carer regarding the use of the equipment provided.
- ▶ The engineer should be satisfied that the patient can use the provided oxygen equipment safely.
- ▶ The oxygen concentrator must be checked regularly to ensure the filters are cleaned, the flow metres are accurate, and the concentrator delivers oxygen at the correct concentration.
- ▶ The oxygen contractor should inform the assessment service of any safety issues concerning the patient and the oxygen equipment and its use.
- ▶ The data collected by the oxygen contractor related to safety such as fire and accidents as a result of oxygen equipment and its use in the home, should be made available to oxygen assessment services.

Role of the fire and rescue service

Although this is not mandatory for the fire and rescue service, a community fire safety officer may visit to discuss fire safety, smoke alarms and safe exit routes in the event of a fire.

Trips and falls

Patients with home oxygen often have mobility or sight impairment, and equipment and tubing can jeopardise safety. No studies were found that examined the number of accidents that occurred as the result of home oxygen equipment or tubing.

Evidence statements

- ▶ Serious burns, inhalation injury or death can be caused by using oxygen while smoking or using oxygen near a naked flame. **Evidence level 3**
- ▶ Patients who are educated regarding the dangers of smoking and using oxygen are more likely to quit smoking. **Evidence level 2+**

Recommendations

- ▶ Smoking cessation should be discussed and written education given to all patients prior to ordering home oxygen and at each subsequent review if the patient continues to smoke. (Grade C)
- ▶ Patients should be made aware in writing of the dangers of using home oxygen within the vicinity of any naked flame such as pilot lights, cookers, gas fires and candles. (Grade D)
- ▶ Patients and family members who continue to smoke in the presence of home oxygen should be warned of the associated dangers of smoking in the presence of oxygen. (Grade D)

Good practice points

- ▶ Safety should be a factor when making decisions regarding ordering home oxygen. Education and written information should be provided to the patient and their family or carers regarding the safe use of oxygen and its equipment. (✓)
- ▶ The risks of prescribing oxygen to active smokers should be considered on a case-by-case basis: this should include a home visit to assess the patient's home situation, attitude toward risks and smoking behaviour. Home oxygen assessment services may decide not to prescribe home oxygen to smokers if the risks are in their judgement too high. Particular consideration needs to be given to risks to children and risks to neighbours in multiple occupancy dwellings. A risk assessment tool should be used, and the health professional who is undertaking the risk assessment may need to visit the home in conjunction with the local fire service and/or the oxygen contractor. Where there is reasonable doubt, the therapy should not be prescribed. (✓)
- ▶ Patients who continue to smoke or live with other household smokers should be informed that the order for home oxygen will be reviewed and evidence of increased risk may lead to withdrawal of home oxygen therapy. (✓)
- ▶ Carbon monoxide monitoring and measuring urine cotinine may help identify those patients who continue to smoke. (✓)
- ▶ Patients should be made aware that they should not use e-cigarettes and chargers within the vicinity of their home oxygen. (✓)
- ▶ Oil-based emollients and petroleum jelly can support combustion in the presence of oxygen. Patients should be made aware that only water-based products should be used on the hands and face or inside the nose while using oxygen. (✓)
- ▶ The oxygen supplier should be informed if the patient continues to smoke in order for the engineer to consider it in the home oxygen supplier risk assessment. (✓)
- ▶ Patients and family or carers should be instructed not to remove the fire breaks or to change the flow rate on their oxygen equipment. Only oxygen tubing and connections supplied by the oxygen company should be used. (✓)
- ▶ The local fire service should be made aware of patients who are using oxygen at home and especially those who continue to smoke in order for a home safety assessment to be carried out. (✓)
- ▶ Patients and carers should be aware that tubing should be checked on a regular basis and repositioned as necessary to ensure safety by preventing trips and falls. (✓)

CONCLUSION

This guideline has reviewed the indications for the ordering and provision of home oxygen. It has confirmed which patients will benefit from LTOT, how they should be assessed and monitored, focusing on difficult clinical situations such as hospital discharge and management of hypercapnia. It has outlined recommended flow rates and duration of use, along with a review of all modalities of equipment used to deliver home oxygen. It has given recommendations for use of NOT in patients with advanced cardiac disease who are symptomatic from SDB and for use of SBOT in acute CH alone. It has recommended use of AOT is limited to patients with evidence of improvement of exercise tolerance when using AOT as part of a pulmonary rehabilitation programme. Finally, it has considered in detail the safety aspects of home oxygen delivery, particularly in the challenging area of risk assessment in continuing smokers.

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APPENDIX 1: PROTOCOL FOR AMBULATORY OXYGEN THERAPY ASSESSMENT**AIMS OF ASSESSMENT FOR EACH PATIENT**

- (1) To determine if there is desaturation on exercise, defined as a drop in SpO₂ of $\geq 4\%$ to $< 90\%$
- (2) To determine the most appropriate device and setting to correct exercise desaturation

CONSIDERATIONS

- This protocol is designed to be generic and can be adapted for any valid and repeatable walking test.
- A 6 Minute Walking Test (6MWT) should be performed over a 30m course (cones 29m apart), but it is recognised that due to a lack of space a modified 10m-6MWT (cones 9m apart) may be used as an alternative (1, 2). Incremental and Endurance Shuttle Walking Tests (ESWT) are performed over a 10m course (cones 9m apart). There is some evidence to show that endurance tests, such as the ESWT, may be more sensitive than standard tests (3, 4).
- Desaturation during baseline endurance shuttle walking test (ESWT) has been found to predict required flow rate (see annex 1). This is unlikely to predict as robustly when desaturations produced during other walking tests are used but may give some guidance.
- A practice walk test should be performed and without one the improvement in walking distance from air to oxygen is likely to be overestimated.
- Local policy and individual patient capabilities will affect the maximum number of tests performed in one appointment. Two appointments may be required to titrate oxygen fully.
- It is not possible to correct SpO₂ in every patient to $> 90\%$ using 6 litres per minute (lpm) oxygen or the maximum settings on other devices. In this situation discussion with patient and their consultant may help determine if a higher flow rate may be suitable. Portability and / or duration of use declines considerably above 6 lpm.
- Authors have described a dose response to oxygen i.e. for each increase in flow rate there is an increase in exercise performance (3). Those whose performance has not improved on oxygen should therefore be trialled on a higher flowrate / setting.
- Carrying the cylinder / device negates the effect of the oxygen but wheeling it does not (5). Therefore patients must have AOT flow rate / setting titrated while carrying / wheeling the oxygen device as they plan to use it in everyday life.
- Different oxygen devices weigh different amounts and oxygen conservers vary in sensitivity and functionality which result in devices responding differently to different patients (6). Patients must have the flow / setting titrated on the device that they are to be prescribed.

EQUIPMENT REQUIRED

- Long, flat, corridor at least 32m long (12m for modified 6MWT)
- 2 cones
- 2 chairs (placed beyond each cone)
- Stopwatch/CD & CD player
- Ambulatory oxygen equipment (hired/supplied by oxygen provider)
- Nasal cannulae
- Pulse oximeter
- Modified BORG breathlessness scale
- Oxygen risk assessment
- Patient information leaflets

PREPARATION

- Explain the purpose of AO
- Outline the AO assessment process
- Gain informed consent for assessment
- Confirm indication for AO (including outdoor mobility)
- Complete risk assessment
- Ensure 20 mins rest before walking test (included in discussion time)
- Set up walking test circuit
- Read / play test instructions
- Ask if the patient has any questions
- Perform practice test
- Ensure further 20 mins rest before retest

DEMONSTRATING A POSITIVE IMPROVEMENT WITH AO

2 out of 3 of the markers below are required to show that the patient benefits from AO.

- SpO₂s $\geq 90\%$ throughout
- $\geq 10\%$ increase in walking distance from baseline (7)
- Improvement in BORG of at least 1 point from baseline (8)

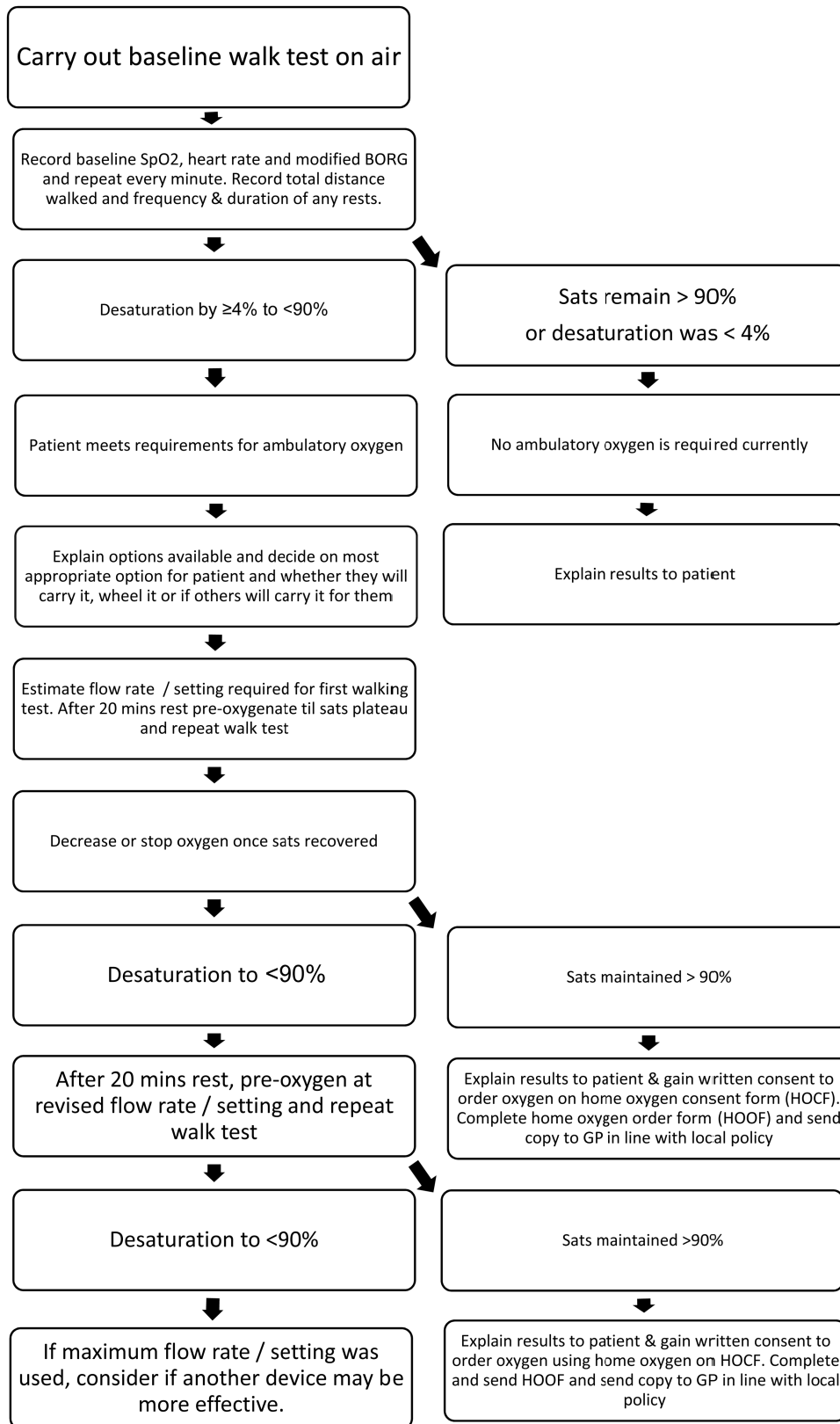
FOLLOW-UP**8 week review:**

Check patient's concordance with the oxygen order (call the oxygen delivery company to determine their usage) and compare this with the patient's diary card when they attend. Discuss any discrepancies or issues highlighted.

Troubleshoot any device issues. Review device and oxygen order as required.

Annual review:

Reassess using current prescription and adjust flow rate and device as required.



ANNEX 1

Suggested AO flow rates according to baseline ESWT desaturations (9):

Oxygen saturation range (%)	Suggested AO flow rate (l/min)
86-89	3
80-85	4
74-79	5
73 or below	6

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APPENDIX 2: ASSESSMENT REFERRAL FORM

HOME OXYGEN ASSESSMENT REFERRAL FORM	
NHS no: Name: Address: Post code: Date of birth:	Tel No: Key contact (if different from patient): Name: Relationship: Tel No:
GP name & address:	
Consultant name & address (if applicable):	
Primary diagnosis:	
Relevant secondary diagnoses:	
Oxygen saturation (on air at rest): Date taken:	
Blood gases: pH PO ₂ PCO ₂ (on air on oxygen please circle) if available	
Date of last exacerbation (treatment completed):	
Is patient being discharged from hospital?	
Smoking status (tick):	Never <input type="checkbox"/> Ex <input type="checkbox"/> , how long stopped Current <input type="checkbox"/>
Other potential hazards (tick any that may apply):	Lives alone <input type="checkbox"/> Mobility issues (trips/falls) <input type="checkbox"/>
	Open fires <input type="checkbox"/> Poor memory <input type="checkbox"/>
	Other <input type="checkbox"/> (list)
Allergies:	No <input type="checkbox"/> Yes <input type="checkbox"/> list any:
Does the patient currently have any home oxygen? No <input type="checkbox"/> Yes <input type="checkbox"/>	
Details	
Is the patient or key contact aware of this referral? No <input type="checkbox"/> Yes <input type="checkbox"/>	
Additional relevant information:	
Print Name:	Profession:
Signature:	Date:

Please return to Home Oxygen Assessment Service fax:

For urgent referral tel:

APPENDIX 3: ASSESSMENT PROTOCOL FOR PALLIATIVE OXYGEN

There is no consensus for the correct clinical assessment strategy for the use of oxygen in palliative care, although multiple tools exist for assessing dyspnoea. This assessment protocol is suggested best practice by the guideline group and applies to patients with cancer or end stage cardio-respiratory disease who are experiencing intractable breathlessness, who are hypoxaemic with resting SpO₂<92% or who are normoxaemic but in whom all other approaches have been exhausted. The Numerical Rating Scale score is recommended as this approach was used in evidence cited. First ensure patient is on maximum treatment for underlying diseases where possible and reversible causes for breathlessness have been or are being treated optimally.

- As distress from breathlessness can be multi-dimensional, ensure psycho-social factors have been assessed and addressed.
- Trial of non-pharmacological measures including teaching of breathing relaxation and life modifying strategies by involving physio and occupational therapists.
- Trial of hand held fan before consideration of oxygen therapy.
- Assess response to opioids if they have been tried.
- Check SpO₂ using pulse oximetry at rest and/ or after exertion.

The subjective severity and intensity of breathlessness should therefore be recorded regularly to evaluate the degree of suffering caused and the effect of treatment. A numerical rating scale (NRS) from 0 to 10 has been found useful for this purpose (0=no shortness of breath, 10=worst shortness of breath imaginable). Treatment should focus on patients with dyspnoea scores (NRS) of ≥ 4 , and especially those with scores ≥ 7 . Recurrent assessment with standardized scales is prudent, especially when using an N-of-1 approach, as it is difficult to predict which patients will benefit (1).

PRESCRIPTION

As distress from breathlessness is not correlated to degree of hypoxemia, the flow rates for symptom relief in the studies identified range from 2–5 litres/min. It is suggested therefore that oxygen flow rates be determined by symptom score on an individual basis rather than SpO₂ reading. Additional consideration needs to be given to potential risks of hypercapnia if oxygen is given at higher flow rates.

EQUIPMENT

Concentrator or cylinder as determined by patient's needs.

FOLLOW UP

Oxygen therapy like any pharmacological intervention should be best considered on trial basis and be reviewed regularly while balancing between benefits and risks.

Most benefit is likely to occur in the first 24 hours, and nearly all symptomatic and functional improvements within the first 3 days of use (1). Follow-up and assessment of response should fit with these timescales.

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APPENDIX 4: RISK ASSESSMENT TOOLS*

*The risk assessment templates provided have not been validated.

FIELD BASED RISK ASSESSMENT REPORT TEMPLATE AS USED BY HOME OXYGEN PROVIDER COMPANY

Written confirmation that the risk assessment has been conducted at the Patient’s home at the due date and report of the findings of the assessment shall include, but not necessarily be limited to, the following information:-

Patient Name		Patient Number		
Patient Address		Job Type		
Potential Risks	YES	NO	Comments / Observations	
<i>Initial Desk Based Assessment Completed</i>				
<i>Property Access</i>				
Suitable parking, good surface condition and safe access to property				
Suitable access using path/stairs (not too steep or narrow)				
Is a Lift/Escalator available?				
<i>Patient / Carer</i>				
Are there any language barriers, does the Patient/carer understand the safety demonstration?				
Does the Patient/carer understand and are they able to operate the Equipment provided?				
Does Patient / Carer smoke or is there evidence of smoking in the Patient’s residence?				
Is any other Equipment used in combination with the oxygen therapy Equipment?				
Is the Patient able to replace the filter autonomously?				
<i>Oxygen Equipment usage and storage</i>				
Is Equipment used / stored in Workshop, Garage or Kitchen?				
Is Equipment used / stored within 3m of open flame 1.5m of electrical appliance, flammable material, Paint, oils or grease?				
Is usage / storage area safe, suitable, clean and adequately ventilated in relation to the Patients safety and the safety of other people that have authorised access to the location?				
Is usage/storage etc adequate where there is more than one Patient using Oxygen e.g. care homes				
Can Equipment be located to allow a maximum of 15m free line without causing obstructions/hazards when in use?				
If delivery is made in the absence of Patient/carer, has suitable, safe, secure storage been agreed?				
Concentrator installations – Has mains outlet socket passed safety test?				
Does the Patient need to use stairs in the property				
Can the Patient safely climb stairs whilst using oxygen?				
Is there a working smoke detector or alarm in the home?				
Is the Patient using a pre-paid electricity meter?				
Does the crush resistant tubing need to be replaced?				
Oxygen concentration Testing				
Filters checking and cleaning				
Location where Equipment to be installed				
Electricity meter reading as at installation date				
Assessors Other Comments / Concerns / Other Potential Risks				
Assessor’s Name (Print)				
Assessor Signature		Date		

Risk Assessment Form (Wirral NHS Home Oxygen assessment service)*

Location/Activity: _____ Oxygen Therapy _____ Assessment date: _____

Patient's Full Name: _____ Date of birth: _____ NHS N° _____

Assessor: _____ Signature: _____ Review date: _____

Risk Description/Source

IF THE PATIENT HAS AN AIR FLOW PRESSURE RELIEVING MATTRESS YOU MUST DISCUSS THE POTENTIAL RISKS AND DOCUMENT IT

Ref	Hazards	Risks	People at risk	Current Control Measures	L x C=R	Is further action required (Y/N)
1.	Smoking	Fire Facial burns	Community Nursing Staff Patients and carers Patients	(1) Instruct patients, carers and visitors not to smoke in any part of the house where oxygen is used (2) Patient/carer to sign "smoking and oxygen" advice form (3) Arrange for removal of any oxygen equipment not in regular use (4) Fire breaks never to be removed from tubing supplied by oxygen provider (5) Ensure smoke detectors are fitted and in working order (1) Advise patient to maintain a safe distance from fires and naked flame appliances as instructed by oxygen provider (2) Oxygen must be positioned and stored as directed by oxygen provider		
2.	Exposure to naked flames from open/gas fires/candles and cooking appliances.	Explosion and fire	Community Nursing Staff Patients and carers	(1) Check there are no kinks in the tubing (2) Check that the tubing is not trapped between furniture or trapped e.g. under bed wheels (3) Only tubing supplied by the oxygen provider is to be used on cylinders and concentrators (4) Encourage piped oxygen if there is excessive tubing (1) Ensure hands are adequately dried after the use of alcohol gels. (1) Instruct patients (or carers) not to use oil based emollients on patients nostrils (1) Ensure patient has information leaflet from company supplying oxygen (2) Check patient/carer has contact details on how to obtain/replace oxygen cylinder.		
3.	Kinking or entrapment of tubing in/under furniture, doors, wheels	Restriction of or no Oxygen supply	Patient			
4.	Alcohol hand rubs/gels	Combustion	Community nursing staff Patients and carers Patient			
5	Use of oil based emollients	Local burning of affected area				
6.	Patient/Carers not aware on how to obtain replacement cylinders	Running out of oxygen	Patient			

Ref	Hazards	Risks	People at risk	Current Control Measures	L x C=R	Is further action required (Y/N)
7.	Tubing	Trips and falls	Community Nursing Staff Patients and carers	(1) Advise patients and carers to check position of tubing daily to minimise risks of falls (2) Advise patients and carers to check position of tubing, particularly if patient using a walking frame etc (3) Current oxygen tubing must be of an appropriate length to meet the needs of the patient.		
8.	Power supply cut off to concentrator	No oxygen supply	Patient	(1) Check patient has a back up cylinder (2) Educate patient not to use back up cylinder unless there is power failure to concentrator		
9	Unauthorised adjustment of flow rate on oxygen equipment	Worsening respiratory failure in oxygen sensitive patients	Patient	(1) Educate patient on the reason for oxygen (2) Inform patient/carer of the prescribed flow rate and hours of use (3) Ensure patient/carer understands how to operate equipment safely (4) Inform patient/carer on the importance of not adjusting oxygen flow rate without seeking appropriate clinical advice and assessment (5) Very oxygen sensitive patients will be issued with an alert card and appropriate oxygen mask and tubing for use in ambulance transfers.		
10	Non compliance with assessment and/ or review process	Risks will not be identified or managed. Oxygen prescription may not be appropriate for the patient's clinical need.	Patient	(1) All except terminally ill patients should be formally assessed prior to commencing oxygen therapy. (2) Patients will be recalled for review according to national guidance (3) Assessment and review will be undertaken at a mutually convenient time and place.		
11	Non compliance with oxygen prescription	Hypoxia remains untreated	Patient	(1) Patients will be educated on when and how to use oxygen at the time of prescribing. (2) Reason for oxygen will be discussed at each review. (3) Significant carers, family and other HP's involved with the patient to be educated on why oxygen has been prescribed.		

- Green Low Risk (04)
 - Yellow/Amber Moderate Risk (5-12)
 - Red High to extreme Risk (15-25)
- Managed by COPD and oxygen service=Acceptable risk. Routine review
 Managed by Senior Managers=Action required
 Managed by Director Level=Serious risk requiring immediate action.

RISK SCORING MATRIX

Likelihood	Consequence				
	Insignificant 1	Minor 2	Moderate 3	Major 4	Catastrophic 5
Certain 5	5	10	15	20	25
Likely 4	4	8	12	16	20
Possible 3	3	6	9	12	15
Unlikely 2	2	4	6	8	10
Rare 1	1	2	3	4	5

PRIORITY AND ACTION

Risk Colour	Risk rating	Risk level	Identifier	Action required
GREEN	0-4	Low	Control measures in place or risk of harm is insignificant	Long term action with routine review
AMBER	5-12	Medium	Likelihood of major harm if control measures not implemented	Action is needed in the medium term
RED	15-25	High	Significant probability of major harm	Urgent action needed. Escalate to line manager, patient's GP and senior manager.

ESCALATION PATHWAY

Low risk – Green (0–4)

Continue usual control measures, reinforce education, update documentation, see at next planned review.

Incident form to be completed if the patient sustains injury or harm related to oxygen. Copy for WUTH and PCT

Medium risk – Yellow (5–12)

Ensure all current safety control measures are in place including fire service involvement

Implement and reinforce control measures

Inform patient's GP and community nursing team/matron if appropriate.

Notify locality MDT for discussion and review

Involve carers/next of kin in discussion of safety issues

Review risk after 4 weeks

Incident form to be completed if the patient sustains injury or harm related to oxygen. Copy for WUTH and PCT

High risk – Red (15–25)

Ensure all current safety control measures are in place including fire service involvement

Urgent discussion with patient's GP

Urgent discussion with community nursing team/matron if appropriate

Inform next of kin, carers of the seriousness of the situation

Arrange for removal of oxygen and admission to hospital if removal of oxygen is likely to result in severe hypoxia

Incident form to be completed if oxygen removed or the patient sustains injury or harm related to oxygen. Copy for WUTH and PCT.

Risk Assessment Action Plan

Patient's Full Name: _____ Date of birth: NHS N° _____

Location/Activity: _____ Assessment date: _____

Assessor: _____ Signature: _____ Review date: _____

Ref	Further Action Implemented Short Term, Medium Term, Long Term	Responsible Person	Revised Risk rating L x C=R	Are further assessments required if so list. e.g. COSHH	
1	Arrange 6monthly review. Review risk assessment annually. Check safety implications at every visit.	Caseload Manager			
2	As above				
3	As above				
4	As above				
5	As above				
6	As above				
7	As above				
8	As above				
9	As above				
10	As above. Inform GP.				
11	As above.				

APPENDIX 5: HOME OXYGEN ORDER FORMS (HOOF) AND HOME OXYGEN CONSENT FORMS (HOFC) FORMS

The Home Oxygen Order Form (HOOF) form for use in England and Wales comes in two parts:

Part A is used when the request is being made by non-specialist healthcare professionals and is usually for a temporary order pending review by a Home Oxygen Assessment service.

Part B should be used by Home Oxygen Assessment services once a patient has undergone formal assessment. It also allows for ordering of equipment in addition to the basic static concentrator and static cylinders.

Forms are available via the home oxygen supplier website relevant to each geographical area (or Part A HOOF is available from Primary Care Commissioning website.

<http://www.pcc-cic.org.uk/article/home-oxygen-service-current-supply-contracts> (accessed Jan 2015)

<http://www.pcc-cic.org.uk/article/home-oxygen-order-form> (accessed Jan 2015)

APPENDIX 6: SAMPLE PATIENT INFORMATION LEAFLETS

There are many examples of excellent patient information leaflets available from different Home Oxygen Assessment teams. The British Lung Foundation provides a wide range of information for patients with lung conditions: www.blf.org.uk

A new publication 'Oxygen treatment' will be available from April 2015: www.blf.org.uk/page/oxygen-treatment

The booklet will be available to order from the BLF shop: Patient: <http://shop.blf.org.uk/products/oxygen-booklet>
HCP: <http://shop.blf.org.uk/products/oxygen-booklet-1>

APPENDIX 7 : PRACTICAL POINTS FOR REMOVAL OF HOME OXYGEN

Removal of home oxygen may be challenging and should be undertaken by experienced healthcare professionals. A multidisciplinary team approach including all healthcare professionals directly involved with the patient's care agreeing a plan may help the process. If this is not possible, communication of the removal plan to all relevant healthcare professionals is important.

Removal of home oxygen may not occur at one point but take time and multiple contacts. In order to remove home oxygen other interventions to manage breathlessness should be considered as an alternative where appropriate e.g. pulmonary rehabilitation, depression and anxiety management. The process may be stressful for the patient and their families or carers and should be handled sensitively.

It is helpful if the possibility of removal of home oxygen, and the circumstances in which this might occur, is raised with patients and their carers when home oxygen is first prescribed. This should be supported by written information.

Reasons for removal of home oxygen therapy:**1. Clinical**

If the patient no longer meets the criteria for home oxygen on reassessment in a stable clinical state, then the removal process should be initiated. Consider the time and effort of removal in those patients with borderline arterial oxygen levels as they are likely to deteriorate in time, and a further assessment may be useful before initiating removal.

2. Patient adherence

Where patients do not use their prescribed oxygen for the period of time to gain clinical benefit (eg 15 hours per day with LTOT), they should be advised of the importance of this. An agreement should be reached with patients of an agreed period over which to improve adherence and if adherence is still suboptimal the oxygen should be removed.

Patients who do not use ambulatory oxygen therapy (AOT) despite leaving their house, or those who have AOT but do not leave their house, should again be similarly advised that this is a waste of NHS resources and an agreed period of time to improve adherence should be decided on, before reassessment. If there is no significant improvement in AOT use, then it should be removed.

3. Safety

If there are clear safety issues around the use of home oxygen a risk assessment should be undertaken (see Appendix 4). Serious incidents such as fires involving oxygen should lead to serious consideration of the removal of oxygen. Altering flow rates if not recommended by home oxygen team can result in harm and falls may result from piped oxygen tubing. There may be interventions that can minimise the risks and these should be initiated e.g. smoking cessation support in smokers; oxygen tubing being piped in and fixed rather than loose to reduce the risk of trips and falls; locking concentrators to avoid alteration in flow rates. Following initiation there should be a reassessment after a set agreed period.

Before removal of home oxygen you should be able to answer 'YES' to the following:

- Has the patient been reassessed by a health professional experienced in managing home oxygen or part of the home oxygen assessment team?
- Is there a clear indication for removal?
- Is the patient (and/or significant other) aware removal may occur?
- Have all interventions to improve adherence or reduce risk been considered and implemented with an evaluation following implementation?
- Have appropriate alternative treatment strategies been considered and implemented as part of the removal process?
- Have the wider health care team been part of the decision to remove home oxygen but if not informed of the decision prior to removal?

APPENDIX 8: GUIDELINE GROUP MEMBERS

Name	
Dr Maxine Hardinge, Chair	Consultant Respiratory Physician Oxford University Hospitals NHS Trust
Joe Annandale	Respiratory Nurse Specialist, Hywel Dda University Health Board, Prince Philip Hospital, Llanelli Representing ARNS
Dr Simon Bourne	Consultant Respiratory Physician Portsmouth NHS Trust Representing the Royal College of Physicians, London
Dr Brendan Cooper	Consultant Clinical Scientist, Lung Function and Sleep, Queen Elizabeth Hospital Birmingham Representing ARTP
Lynn McDonnell	Clinical Specialist Physiotherapist London Representing ACPRC
Angela Evans	Specialist Practitioner, Staffordshire and Stoke-on-Trent Partnership Trust Representing ARTP
Dr Daryl Freeman	General Practitioner Representing PCRS-UK
Angela Green	Respiratory Physiotherapist Improvement Academy (Y&H AHSN) Bradford Teaching Hospitals NHS FT
Mr Colin Hawkey (dec)	Patient/carer representative: 2011/2013
Dr Sabine Hippolyte	Respiratory Specialty Trainee London
Vikki Knowles	Respiratory Nurse Specialist, Respiratory Care Team, Virgin Care, Surrey Representing PCRS –UK
Mrs Joan Ling	Patient/carer representation: 2011/2012
Professor William MacNee	Professor of Respiratory Medicine Edinburgh
Kathy Pye	Clinical Nurse Specialist Liverpool
Dr Jay Suntharalingam	Consultant Respiratory Physician Bath
Dr Vandana Vora	Consultant Palliative Care Physician, Sheffield Representing Association for Palliative Medicine
Dr Tom Wilkinson	Consultant Respiratory Physician Clinical and Experimental Sciences, Faculty of Medicine, University of Southampton, Southampton

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